Import LC Issuance User Guide Oracle Banking Trade Finance Process Management Release 14.7.4.0.0

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Oracle Banking Trade Finance Process Management - Import LC Issuance User Guide Oracle Financial Services Software Limited

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1. Preface

1.1 Introduction

This user manual is designed to help you quickly get acquainted with Import LC Issuance process in Oracle Banking Trade Finance Process Management.

1.2 <u>Audience</u>

This manual is intended for the following User/User Roles:

- Oracle Implementers
- Customer Service Representatives (CSRs)
- Oracle user

1.3 **Documentation Accessibility**

For information about Oracle's commitment to accessibility, visit the Oracle Accessibility Program website at http://www.oracle.com/pls/topic/lookup?ctx=acc&id=docacc.

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1.4 Organization

This manual is organized into the following chapters:

- Preface gives information on the intended audience, structure, and related documents for this User Manual.
- The subsequent chapters provide an overview to the module.

1.5 <u>Related Documents</u>

- Getting Started User Guide
- Common Core User Guide

1.6 **Diversity and Inclusion**

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standards evolve. Because of these technical constraints, our effort to remove insensitive terms is ongoing and will take time and external cooperation.

1.7 <u>Conventions</u>

The following text conventions are used in this document:

Convention	Meaning						
boldface	Boldface type indicates graphical user interface elements asso- ciated with an action, or terms defined in text.						
italic	Italic type indicates book titles, emphasis, or placeholder varia- bles for which you supply particular values.						
monospace	Monospace type indicates commands within a paragraph, URLs, code in examples, text that appears on the screen, or text that you enter.						

1.8 <u>Screenshot Disclaimer</u>

Personal information used in the interface or documents is dummy and does not exist in the real world. It is only for reference purposes.

1.9 Glossary of Icons

This User Manual may refer to all or some of the following icons.

lcons	Function				
×	Exit				
+	Add row				
—	Delete row				
Q	Option List				



2. Oracle Banking Trade Finance Process Management

Welcome to the Oracle Banking Trade Finance Process Management (OBTFPM) User Guide. This guide provides an overview on the OBTFPM application and takes you through the various steps involved in creating and processing Trade Finance transactions.

This document will take you through following activities in OBTFPM:

- To create and handle Trade Finance transaction.
- Help users to conveniently create and process Trade Finance transaction

2.1 <u>Overview</u>

OBTFPM is a Trade Finance middle office platform, which enables bank to streamline the Trade Finance operations. OBTFPM enables the customers to send request for new Trade Finance transaction either by visiting the branch (offline channels) or through SWIFT/Trade Portal/other external systems (online channels).

2.2 Benefits

OBTFPM helps banks to manage Trade Finance operations across the globe in different currencies. OBTFPM allows you to:

- Handle all Trade Finance transactions in a single platform.
- Provides support for limit verification and limit earmarking.
- Provide amount block support for customer account.
- Provides acknowledgement to customers.
- Enables the user to upload related documents during transaction.
- Enables to Integrate with back end applications for tracking limits, creating limit earmarks, amount blocks, checking KYC, AML and Sanction checks status.
- Create, track and close exceptions for the above checks.
- Enables to use customer specific templates for fast and easy processing of trade transactions that reoccur periodically.

2.3 Key Features

- Stand-alone system that can be paired with any back end application.
- Minimum changes required to integrate with bank's existing core systems.
- Faster time to market.
- Capable to interface with corporate ERP and SWIFT to Corporate.
- Highly configurable based on bank specific needs.
- Flexibility in modifying processes.



3. Import LC Issuance

As part of Import LC Issuance the applicant (importer or customer) approaches a bank and requests the bank to issue a Letter of Credit on their behalf to the beneficiary (exporter). The Letter of Credit stipulates the required documents and the conditions to be met by the beneficiary while shipping under the said LC. On receipt of credit compliant documents, the issuing bank is obliged to make payment under the LC.

The various stages involved for issuance of an Import Letter of Credit are:

- Receive and verify documents (Non Online Channel)- Registration stage
- Input application details
- Upload of related mandatory and non mandatory documents
- Verify documents and capture details (Online/Non Online Channels)- Scrutiny stage
- Input/Modify details of LC Data Enrichment stage
- Check for limit availability
- Check balance availability for amount block
- Check for sanctions & KYC status
- Earmark limits/Create amount block for cash margin/charges
- Capture remarks for other users to check and act
- Generate acknowledgements and draft LC copies
- Notify customer on any negative statuses in any of the stages to the applicant
- Hand off request to back office

In the following sections, let's look at the details for Import LC issuance process.

This section contains the following topics:

3.1 Common Initiation Stage	3.2 Registration
3.4 Scrutiny	3.5 Data Enrichment
3.6 Customer Response - Draft Confirmation	3.7 Exceptions
3.8 Multi Level Approval	3.9 Customer - Acknowledgement
3.10 Customer - Reject Advice	3.11 Reject Approval

3.1 <u>Common Initiation Stage</u>

The user can initiate the new import LC issuance request from the common Initiate Task screen.

- 1. Using the entitled login credentials, login to the OBTFPM application.
- 2. Click Trade Finance > Initiate Task.



E ORACLE	Initiate Task				Î	(PK2) Mar 22, 2019	JEEVA02 subham@gmail.com
Tasks •	Registration						
Completed Tasks	Process Name		Customer Id *		Branch *		
Free Tasks	Import LC Issuance	v	006217	0	PK2-FLEXCUBE UNIVERSAL BANK *		
Hold Tasks							
My Tasks							Proceed Clear
Search							
Supervisor Tasks							
Trade Finance 🛛 🔻							
Administration							
Bank Guarantee Advice 🕨							
Bank Guarantee Issua 🕨							
Enquiry							
Export - Documentary 🕨							
Export - Documentary >							
Import - Documentar 🕨							
Import - Documentar 🕨							
Initiate Task							
Shipping Guarantee 🕨	1						
Swift Processing							

Provide the details based on the description in the following table:

Field	Description				
Process Name	Select the process name to initiate the task.				
Customer Id	Select the customer id of the applicant or applicant's bank.				
Branch	Select the branch.				

3.1.0.1 Action Buttons

Use action buttons based on the description in the following table:

Field	Description
Proceed	Task will get initiated to next logical stage.
Clear	The user can clear the contents update and can input values again.

3. Click **Proceed** to proceed to the next step.

3.2 Registration

The user initiates the transaction from Registration Stage, if the LC Issuance request is received from the Applicant at the branch counters either by fax, mail or physical application.

During Registration stage, user can capture the basic details of the application, check the signature of the applicant and upload the related documents submitted by the applicant. It also enables the user to capture some additional product related details as an option. On submit of the request, the customer will be notified with an acknowledgment and the request will be available for an LC Issuance expert to handle the request in the next stage.

For request received vide MT798 along with sub message 770,700,701, when customer initiates an Import LC request through SWIFT (Corporate to SWIFT) channel, the MT798



message containing the request is parsed and based on the STP parameters maintained, can create a Import LC Issuance Task in OBTFPM. The MT798 message, is routed to the Issuing Bank selected by the customer. Issuing Bank receives the MT798 and creates a task in Import LC Issuance Process in Scrutiny stage in OBTFPM.

The Incoming MT798 message contains 3 sections.

- MT798 Index Message which contains the Sub message type 770 •
- MT798 Details Message which contains the Sub Message type 700 (MT700 tags with • values)
- MT798 Extension Message which contains the Sub Message type 701 (MT701 Extension message tag values) if applicable.

The OBTFPM user can process MT798 with sub messages MT726-MT759 message received through SWIFT. The OBTFPM verifies the field 21 and 26E (of the MT759 and identifies the Original Contract Reference Number and Amendment Number and invokes the process. The user can cancel the previously received MT798 referenced message which is under process.

The OBTFPM user can process incoming MT798(up to a maximum of 8 messages) with sub messages MT788-MT799 message received through SWIFT and enables the user to cancel the previously received MT798 referenced message which is under process.

1. Using the entitled login credentials for Registration stage, login to the OBTFPM application.

🗗 FuTura Bank
Sign In
User Name *
SRIDHAR
Password *
Sign In
Cancel



2. On login, user must be able to view the dashboard screen with widgets as mapped to the user.

ore Maintenance	<u> </u>	Draft Confirmation P	ending	¢ ×	Hand-off Failure		Ø X	Priority Details		φ×	
shboard											
aintenance		Customer Name	Application Date	G	Branch	Process Name	Stage Name	Branch	Process Name	Stage Name	
ks	•	EMR & CO	25-06-2018	G	Bank Futura	NA	Retry HandOf	Bank Futura	NA	Amount Blo	
ide Finance	•	NA	25-06-2018	G				Bank Futura	NA	Amount Blo	
		NA	21-06-2018	G				004	NA	Loan Applic	
								004		coan Applic	
			-						-		
		High Value Transaction	ons	¢ ×	SLA Breach Deta	ils	0 ×	Priority Summary	Cucumber Te	* Ø ×	
		140K			Customer Name	SLA Breaches	s(mins) Prior	Branch Pre	ocess Name	Stage Name	
		100K			NA	23474 H	KEERTIV01				
		60K		• GBP	HSBC BANK	26667 M	SHUBHAM	203 Cu	cumber Testing	test descrip	
		20K	ICCCO.		WALL MART	23495	SHUBHAM				
		-2 0 2 4	6 8 10 12		EMR & CO	26780 M	GOPINATH01				
			-			-			-		
		Hold Transactions		o x	SLA Status	Cucumber Testi	×, ©	Tasks Detailed	Cucumber Testing	, o x	

3. Click Trade Finance> Import - Documentary Credit> Import LC Issuance.

\equiv 🍞 FuTura Bank	Dashboard				m FBN UK (GS1) 👘 Feb 1, 2019	6		su	SRIDHAR01
Core Maintenance	Priority Sum	mary	Course t	LC Amendment Beneficiary Co	_		ø	×	+
Corporate Lending 🕨 🕨	Thomy Sum	inary	Export	LC Amendment beneficiary Co	•		_		
Dashboard	Branch		Stage Name	No of High Price	ority Items No of Medium Pri		No		
Maintenance 🕨	GS1	Export LC Amendment Beneficiary Consent	t Registration	0	0		0		
Security Management	GS1	Export LC Amendment Beneficiary Consent	t Approval1	0	0		0		
Tasks 🕨	GS1	Export LC Amendment Beneficiary Consent	t Approval2	0	0		0		
Trade Finance 🔫									
Bank Guarantee Advice ►	High Priority	Tacks					0	×	
Bank Guarantee Issuan 🕨							-		
Export - Documentary >	Branch	Process Name	Stage Name	Process Reference Number	Customer Name	User ID			
Import - Documentary ►		NA	Scrutiny	2031LCIS0022468	Marks and Spencer	OBDX01			
Import - Documentary 🔻		NA	Scrutiny	2031LCIS0022466	Marks and Spencer	OBDX01			
Customer Orders		NA	Scrutiny	2031LCIS0022464	Marks and Spencer	OBDX01			
Import LC Drawings- C	-								r
Import LC Update Dra	Deardine From	eption Approval					ø	-	
Import LC Amendment							~		
Import LC Amendment	Type to filter								
Import LC Drawings	Customer N	lame Stage Name	Process R	eference Number Process I	Name Branch Name	Currency			
Import LC Drawings Ap	EMR & CO	KYC Exception Approval	GS1ILCIS0	0022081 NA	FBN UK	GBP		•	
Import LC Issuance	NESTLE	Amount Block Exception Appro	oval 2031LCAN	10022380 NA	DEMO BANK	GBP			
Import LC Liquidation	AIRCTUR	WWC Encoding Amount	20211.044	100000000 NA	DEMO BANK				
SWIFT 799 Handling							~	~	
Virtual Account Manage	High Value T	ransactions					Ф	×	

The Registration stage has two sections Application Details and LC Details. Let's look at the details of Registration screens below:



3.2.1 Application Details

\equiv ORACLE [®]			(DEFAULTENTITY)	FLEXCUBE UNIVERSAL BAN Aug 3, 2023
Import LC Issuance			Signatures Documents Rem	narks Customer Instruction Common Group Messages
Application Details				
Received From Party	Received From - Customer ID	*	Received From - Customer Name	Branch *
Applicant 💌	091215	Q,	ABU DHABI 1	091-Islamic Trade Branch 🔍
Priority *	Submission Mode *		32B - Currency Code, Amount *	Process Reference Number
Medium 💌	Desk	w	AED - AED 1,000.00	0911LCI000001646
Customer Reference Number	Application Date *		Copy LC	Template Name
	Aug 3, 2023	***	Q	Q
LC Details Revolving	LC Туре		Product Code	Product Description
	Select	v	Q	L
Advising Bank	40A - Form of Documentary (Credit *	20 - Documentary Credit Number	User Reference Number
Q	IRREVOCABLE	v		
23 - Reference To Pre-Advice	31C - Date of Issue *		40E - Applicable Rules *	31D - Date of Expiry
	Aug 3, 2023		UCP LATEST VERSION	· · · · · · · · · · · · · · · · · · ·
31D - Place of Expiry	51A - Applicant Bank		Applicant	Beneficiary
			091215 ABU DHABI 1	۹.
39A - Percentage Credit Amount Tolerance	Limits/Collateral Required		39C - Additional Amount Covered	Accountee
/				Q
Amount In Local Currency	Back to Back LC		Export LC Reference *	Export LC Available Amount
AED 💌			Q	
Export LC Expiry Date	Export LC Shipment Date		Auto Close	Closure Date
data .		physics		

Provide the Application Details based on the description in the following table. In case of MT798, Application Details are defaulted to SWIFT.

Field	Description	Sample Values
Received From Party	Import LC Issuance request can be received either from the applicant, applicant's bank or accountee.	
	User can select the option from drop-down.	
Received From - Cus- tomer ID	Select the customer id of the applicant or applicant's bank.	001344
	System allows only the customer of the bank for selection.	
	If the selected customer/party is blacklisted the system displays a warning message.	
Received From - Cus- tomer Name	Name of the customer or applicant. This field will be auto populated based on the selected cus- tomer ID.	EMR & CO
Branch	Select the branch. Customer's home branch will be displayed based on the customer ID and it can be changed, if required.	203-Bank Futura - Branch FZ1
	Note	
	Once the request is submitted, Branch field is non-editable.	

Field	Description	Sample Values
Priority	Set the priority of the Import LC issuance request as Low/Medium/High. If priority is not maintained for a customer, 'Medium' priority will be defaulted.	High
Submission Mode	Select the submission mode of Import LC Issu- ance request. By default the submission mode will have the value as 'Desk'.	Desk
	Desk- Request received through Desk	
	Fax- Request received through Fax	
	Email- Request received through Email	
	Courier- Request received through Courier	
Currency Code, Amount	Select the currency code.	GBP
	Provide the value of LC (with decimal places) as per currency type and tab out.	1,000.00
Process Reference Num- ber	Unique sequence number for the transaction. Process Reference Number is auto generated by the system based on process name and branch code.	203IL- CISS0000 00500
Customer Reference Number	Enables the user to provide a unique Customer Reference Number which will be the User Refer- ence of the LC.	
Application Date	By default, the application will display branch's current date and enables the user to change the date to any back date.	04/13/2018
	Note	
	Future date selection is not allowed.	
Copy LC	If an existing LC is to be copied, the details of the LC is captured here.	
	Provide the LC number, the system populates the details of the LC.	
	Alternately, the user can search the LC from the LOV.	

Field	Description		Sample Values
Template Name	Select a template if the applicant details are already captured and the data can be reused with the template to reduce the effort.		
	Click the look up icon to search the Template code with Template Code or Template Description.		
	Template Name		
	Template Code	Template Description	

3.2.2 LC Details

Registration user can provide LC details in this section. Alternately, LC details can be provided by Scrutiny user.

Revolving	LC Type	Product Code	Product Description
	Select 🔻	Q	
Advising Bank	40A - Form of Documentary Credit *	20 - Documentary Credit Number	User Reference Number
Q	IRREVOCABLE 🔻		
23 - Reference To Pre-Advice	31C - Date of Issue *	40E - Applicable Rules *	31D - Date of Expiry
	Aug 3, 2023	UCP LATEST VERSION	
1D - Place of Expiry	51A - Applicant Bank	Applicant	Beneficiary
		091215 ABU DHABI 1 💽	Q
9A - Percentage Credit Amount Tolerance	Limits/Collateral Required	39C - Additional Amount Covered	Accountee
/			Q
Amount In Local Currency	Back to Back LC	Export LC Reference *	Export LC Available Amount
AED 🔻		Q,	Y.
xport LC Expiry Date	Export LC Shipment Date	Auto Close	Closure Date
<u> </u>		\bigcirc	

Provide the LC Details based on the description in the following table:

Field	Description	Sample Values
Revolving	Toggle On: LC type is Revolving.	
	Toggle Off: LC is type Non Revolving.	
	For more details on Revolving, see 3.2.2.2 Revolving.	
LC Туре	Select the applicable LC Type from LOV:	
	Sight	
	Usance	

Field	Description	Sample Values
Product Code	Select the applicable product code. Click the look up icon to search the product code with code or product description. Product Code Product Description Red Product Code Product Description Red Product Code Product Description Red Page 1 of 1 (tof 1 item) K () > 3 Alternatively, enter the product code and on tab out system will validate and populate the selected product code.	ILUN
Product Description	Product Description will be auto populated by the application based on the Product Code.	Import LC Usance Non Revolving
Advising Bank	Select the advising bank. Click the look up icon to search the advising bank based on Party ID/Party Name. You can also input the party ID and on tab out system will vali- date and populate the 'Advising Bank' name. Note 1) In case the selected Bank is not RMA Compliant, the system prompts the user to use a different advising bank or use non SWIFT Media to transmit the LC and dis- plays error message "RMA arrange- ment not available, please change the bank or use MAIL Medium". 2)If the party is blacklisted the sys- tem displays a warning message. 3) If the KYC non-compliant party is selected then the system immedi- ately gives instant alert as "Custom- er ID - (CIF ID) is not KYC compliant."	001342 - HSBC Bank
40A - Form of Documen- tary Credit	Select the type of LC (Documentary Credit) as per the requirement. Default LC type is Irrevoca-ble .	Irrevoca- ble
Documentary Credit Num- ber	Simulated from the back office and displayed in the application. Number will be populated on the selection of Product Code .	203IL- UN18103 ACCI



Field	Description	Sample Values
User Reference Number	User Reference Number will be auto populated by the system based on selection of Product Code .	
Reference to Pre-Advice	Provide details of Pre-Advice, if issued by the bank.	
Date Of Issue	Application will default the branch's current date in date of issue. User cannot change the defaulted date.	04/13/18
	Application will populate the Date of Issue field with branch date on approval if date of approval is later than date of Registration.	
Applicable Rules	Select the applicable rules for the LC. Default rule as UCP Latest Version.	UCP Lat- est Ver- sion.
Rule Narrative	Enter the rules narrative. This field is available if the value in 'Applicable Rule' is 'OTHR'	UCP Lat- est Ver- sion.
Date Of Expiry	Provide the expiry date of the LC. The expiry date can be equal or greater than the issue date. If the Expiry Date is earlier than the issue date, system will provide an error and if the 'Expiry Date is equal to the Issue Date', system will provide a alert message.	09/30/18
Place of Expiry	Provide the place of expiry of LC.	London
Applicant Bank	In application details, if Received From Appli- cant Bank toggle is on, the applicant bank details will be displayed here. If request is not received from applicant bank, this field must be blank.	001343 Bank of America

Field	Description	Sample Values
Applicant	Applicant details will be auto populated based on the details provided in Application Details sec- tion. If the request is received from Applicant bank, select the applicant from the List of Values.	001344 EMR & CO
	Note If the selected applicant/ party is blacklisted the system displays a warning message.	
	Note	
	If the KYC non-compliant party is selected then the system immedi- ately gives instant alert as "Custom- er ID - (CIF ID) is not KYC compliant."	
Beneficiary	Select the beneficiary of the LC.	001346
	Click the look up icon to search the beneficiary based on Party ID/Party Name.	Marks and Spencer
	If beneficiary is not a customer of the bank, then choose WALKIN customer id and provide the beneficiary details. If beneficiary is a customer and KYC status is not valid, then system will dis- play alert message.	
	Note	
	1) If the selected Beneficiary/ party i is blacklisted the system displays a warning message.	
	2) If the KYC non-compliant party is selected then the system immedi- ately gives instant alert as "Custom- er ID - (CIF ID) is not KYC compliant."	
Percentage Credit Amount Tolerance	Enables the user to provide tolerance (+/-) on the total LC value. Tolerance value must be either one or two digit value.	8/2
	If tolerance is more than 10%, alert message will be displayed.	

Field	Description	Sample Values
Limits/Collateral Required	Toggle On: Set the Toggle On to enable limit check during the process flow of this request.	
	Toggle Off: Set the Toggle Off to disable limit check during the process flow of this request.	
Additional Amount Cov- ered	Provide additional amount included in LC.	
Accountee	User can select the accountee from LOV.	
	Note	
	If the KYC non-compliant party is selected then the system immedi- ately gives instant alert as "Custom- er ID - (CIF ID) is not KYC compliant."	
Amount In Local Currency	After the tab out of 'Currency Code, Amount' field, system populates the Local currency and amount value in this field. System fetches the local currency equivalent value for the LC amount from back office (with decimal places).	
Back to Back LC	Enable the option, if the back to back Import LC is issued. Toggle On: Set the Toggle On if back to back LC is applicable. Toggle Off: Set the Toggle Off if back to back LC is applicable.	
Below Export LC related fie	ld appears, if Back to Back LC option is enabled.	
Export LC Reference	Specify the export LC reference or click Search to search and select the underlying export LC reference number.	
Export LC Available Amount	System displays the available amount under the Export LC.	
Export LC Expiry Date	System displays the expiry date of the underlying export LC.	
Export LC Shipment Date	System displays the latest shipment date of the underlying export LC.	
Auto Close	Toggle On: Enable the toggle, if Auto close is required for that transactions.	
	Toggle Off: Disable the toggle, if Auto close is not required for that transactions.	

Field	Description	Sample Values
Closure Date	System defaults the "Closure Date" with the value "Expiry Date" + No of Closure days maintained in the respective Product in which the contract has been created.	
	System automatically close the contract on the specified "Closure Date" if "Auto Close" is selected as "Yes" for the specific contract.	
	User can modify the system defaulted "Closure Date" and system should validate the same for the below conditions,	
	 Closure Date must be after the Issue Date. 	
	 Closure Date must be after the Expiry Date. 	
	 Closure Date cannot be blank, when the "Auto Close" is checked. 	

3.2.2.1 Copy LC

The user can copy the existing LC using Copy LC option, to create a new LC in Import LC Issuance process. The 'Copy LC' option allows quick processing of a request for issuing a new LC. The details of the LC is captured here. Following are the steps to copy the existing LC:

- 1. Specify the LC number or alternately, or click search icon to search and select the LC Number. The Search screen appears.
- 2. Specify the fields DCN Reference Number, Applicant, Currency, Amount and User Reference Number as search criteria. The system displays all the LC with the given search criteria. Only LC which are authorized status in back office is displayed.

DCN Refer	rence Number	Applicant		Currency			
Amount		User Refernce Num	iber				
Fetch Branch	DCN Reference Numb	per Applicant	Currency	Amount	Product Code	Issue Date	Expiry Date
000	000ILPC211250001	000325	GBP	100000	ILPC	2021-05-05	2021-06-19
000	000ILSN211250002	0001103	GBP	40000	ILSN	2021-05-05	2021-06-04
000	000ILSN211250501	0001103	GBP	40000	ILSN	2021-05-05	2021-06-04
000	000ILUR211251502	0001101	GBP	1500	ILUR	2021-05-05	2021-06-04
000	000ILUR211250005	0001101	GBP	1500	ILUR	2021-05-05	2021-06-04
000	000ILUR211250505	0001101	GBP	1500	ILUR	2021-05-05	2021-06-04
000	000ILUR211251009	0001101	GBP	1500	ILUR	2021-05-05	2021-06-04
000	00011112211250009	0001101	GRD	1500	ILLID	2021-05-05	2021-06-04



3. Select the LC, the system should populate all the LC related fields (LC Detail, Shipment and Goods, Payment Details and Document Details) in the new LC issuance screen except all fields in the Application Details Section.

LC Type, Product Code, Product Description and Revolving flag value should be populated based on the LC selected. 'Applicant' field is populated based on the Customer ID chosen in the Application Details field. If the request is received from Applicant Bank, then the user has to manually input the Applicant details.

			(DEFALIITENTITY) FLF)	KCUBE UNIVERSAL BAN	POORNIMA01
	View LC/Template)gmail.com
Import Appli	▲ LC Details				
	Applicant	50 - Applicant Name	Branch Code	LC Type	
Receivec	0001101	0001101	000	Usance 💌	
Applica	Product Code	Product Description	Advising Bank	40A - Form of Documentary Credit	
Priority	ILUR	Import LC Usance Non Revolving	0001109	IRREVOCABLE	
Louis Contraction	40E - Applicable Rules	31D - Place of Expiry	51A - Applicant Bank	59 - Beneficiary Name	
Custome	UCPURR LATEST VERSION	CN		0001102	
	32A - Percentage Credit Amount Tolerance	39C - Additional Amount Covered			
LC De	10 / 10				
Revolvin	Availability Details				
\bigcirc	41a-Available with	41a-Available By	42C-Drafts At	42a-Drawee	
Advising	CN Q			Q	D
L.,					_
23 - Refi	Shipment Details				
_	43P-Partial Shipments	43T-Transshipment	44A-Place of Taking in Charge	44E-Port of Loading	
31D - PI	NOT ALLOWED V	NOT ALLOWED			Submit
	44F-Port of Discharge	44B-Place of Final Destination	44C-Latest Date of Shipment	44D-Shipment Period	
				Apply Ca	ncel

4. Click **Apply** to copy the selected LC.

3.2.2.2 Revolving

The user can enable the Revolving option for revolving LC. The revolving LC can be time based or value based.

1. Click the **Edit** icon besides the **Revolving** field. The **Revolving Details** screen appears.

Revolving Details					×
Revolving	Revolving In	Revolving Frequency	Revolve Units		
	Time	•		•	
Next Reinstatement Date	Cumulative	Automatic Reinstatemen	t		
Field	Descriptio	n		Sam	ple Values
Revolving	System dis	System displays, if the LC is revolving or not.			



Description	Sample Values
Select the mode of revolving for the LC.	
The values are:	
• Time	
Value	
Specify the value for the frequency in days and months by which the LC revolves.	
This field is enabled , if Time option is selected in Revolving In field.	
Specify the units by which the LC revolves.	
This field is enabled , if Time option is selected in Revolving In field.	
Displays the date of next instatement for the LC based on the revolving frequency selected.	
Enable this option, if the LC value has to be cumulative or not on reinstatement.	
Enable this option, to have automatic reinstate- ment on the reinstatement day without manual intervention.	
	 Select the mode of revolving for the LC. The values are: Time Value Specify the value for the frequency in days and months by which the LC revolves. This field is enabled , if Time option is selected in Revolving In field. Specify the units by which the LC revolves. This field is enabled , if Time option is selected in Revolving In field. Displays the date of next instatement for the LC based on the revolving frequency selected. Enable this option, if the LC value has to be cumulative or not on reinstatement.

2. Click **Submit** to submit the revolving details.



\equiv ORACLE [*]			(DEFAULTENTITY)	FLEXCUBE UNIVERSAL BAN Aug 3, 2023
Import LC Issuance			Signatures Documents Remarks	Customer Instruction Common Group Message
Application Details				
Received From Party	Received From - Customer ID *		Received From - Customer Name	Branch *
Applicant	091215	Q,	ABU DHABI 1	091-Islamic Trade Branch 💌
Priority *	Submission Mode *		32B - Currency Code, Amount *	Process Reference Number
Medium 🔻	Desk		AED v AED 1,000.00	0911LCI000001646
Customer Reference Number	Application Date *		Copy LC	Template Name
	Aug 3, 2023	i	Q	Q
Advising Bank	40A - Form of Documentary Cre IRREVOCABLE	edit * ▼	20 - Documentary Credit Number	User Reference Number
23 - Reference To Pre-Advice	31C - Date of Issue *		40E - Applicable Rules *	31D - Date of Expiry
25 - Reference To Fre-Advice	Aug 3, 2023	-	UCP LATEST VERSION	
31D - Place of Expiry	51A - Applicant Bank		Applicant	Beneficiary
			091215 ABU DHABI 1 📑	Q
39A - Percentage Credit Amount Tolerance	Limits/Collateral Required		39C - Additional Amount Covered	Accountee
/				Q
Amount In Local Currency	Back to Back LC		Export LC Reference *	Export LC Available Amount
			Q	v
AED 💌				
AED V Export LC Expiry Date	Export LC Shipment Date		Auto Close	Closure Date

Provide the Miscellaneous Details based on the description in the following table:

Field	Description	Sample Values
Signatures	Click the Signature button to verify the signature of the customer/ bank if required.	
	The user can view the Customer Number and Name of the signatory, Signature image and the applicable operation instructions if any available in the back-office system.	
	If more than one signature is available, system should display all the signatures.	
Documents	Upload the required documents.	
	Application displays mandatory documents to be uploaded for Import LC Issuance.Place holders are also available to upload additional documents submitted by the applicant.	
	The Document already uploaded in the DMS sys- tem should be available for view and link from OBTFPM.	
Remarks	Provide any additional information regarding the LC. This information can be viewed by other users processing the request.	



Field	Description	Sample Values
Customer Instruction	Click to view/ input the following	
	 Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this. 	
	 Transaction Level Instructions – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions. 	
Common Group Message	Click Common Group Message button, to send MT799 and MT999 messages from within the task.	
Action Buttons		
Submit	On submit, system will trigger acknowledgment to the customer and give confirmation message for successful submission. Task will get moved to next logical stage of Import LC Issuance.	
	If mandatory fields have not been captured, sys- tem will display an error message until the man- datory fields data are provided.	
Save & Close	Save the information provided and holds the task in 'My Task' queue for working later. This option will not submit the request.	
Cancel	Cancels the Import LC Issuance Registration stage inputs.	
Hold	The details provided will be registered and status will be on hold.	
	This option is used, if there are any pending infor- mation yet to be received from applicant and appropriate remarks must be provided.	

Field	Description	Sample Values
Checklist	Make sure that the details in the checklist are completed and acknowledge. If mandatory checklist items are not marked, system will dis- play an error on submit.	
	Checklist	
	Application signed and stamped	
	Any correction or alteration initialed by the applicant	
	Amount in words and numbers are matching	
	LC amount and currency are uniform across the application	
	Customer signature verified	
	Submit Close	

3.2.4 Document Linkage

The user can link an existing uploaded document in any of the process stages.

In OBTFPM, system should display Document Ids available in the DMS system. In DMS system, the documents can be Uploaded and stored for future access. Every document stored in DMS will have a unique document id along with other Metadata. The uploaded Document image in the DMS should be available/queried in the Process flow stage screens to link with the task by using the Document ID.

System displays the Documents ids which is not linked with any of the task. Mid office should allow either upload the document or link the document during task processing. The Mid office should allow to Link the same Document in multiple tasks.

1. Navigate to the Registration screen.



2. On the header of Registration screen, click Documents button. The Document pop-up screen appears.

Letter of Credit Pro-forma Invoice ±	■ =
1. 1. 1. 1. 1. 1. 1. 1. 1. 1. 1. 1. 1. 1	

3. Click the Add Additional Documents button/ link. The **Document** screen appears.

Document				
Document Type *		Document Code *		
Letter of Credit	•	Insurance Policy	-	
Document Title *		Document Description		
Remarks		Document Expiry Date	atomia .	
				c
Drop files here or click to selec		Link Document		
Selected files: []				
			Upload	Cancel
Field	Description	1		Sample Values
Document Type	Select the D	ocument type from list		
	Indicates the	Indicates the document type from metadata.		
	mulcales inc	e debuillent type nom	motadata.	
Document Code		Ocument Code from lis		
Document Code	Select the D		st.	



Field	Description	Sample Values
Document Title	Specify the document title.	
Document Description	Specify the document description.	
Remarks	Specify the remarks.	
Document Expiry Date	Select the document expiry date.	
Link Document	The link to link the existing uploaded documents from DMS to the workflow task.	

4. Select the document to be uploaded or linked and click the Link Document link. The link Document pop up appears. The value selected in Document Type and Document code of Document screen are

defaulted in the Link Document Search screen.

Customer Id *							
customer Id				Document Id			
032204				1			
Document Type *				Document Co	de *		
	v						
Fetch							
Link Document	Document Id	Customer Id	Document Type	Document Code	Upload Date	Reference Number	
No data to display							

5. Click **Fetch** to retrieve the details from DMS. System Displays all the documents available for the given Document Type and Document Code for the Customer.

5	71	
Field	Description	Sample Values
Customer ID	This field displays the transaction Customer ID.	
Document ID	Specify the document Id.	
Document Type	Select the document type from list.	
Document Code	Select the document code from list.	
Search Result	•	
Document ID	This field displays the document Code from meta- data.	
Customer ID	This field displays the transaction Customer ID.	



Field	Description	Sample Values
Document Type	This field displays the document type from meta- data.	
Document Code	This field displays the document code from meta- data.	
Link Document	The link to link the existing uploaded documents from DMS to the workflow task.	

6. Click **Link** to link the particular document required for the current transaction.

ustomer Id *			Docur	nent Id		
ocument Type *			Docur	ment Code *		
Ocumentary Collec	tion 💌		Insur	ance Policy	•	
Fetch Link Document	Document Id	Customer Id	Document Type	Document Code	Upload Date	Reference Number
Link Document	Documentiu	Customeriu	Document type	b o cumonte o cuo		
	1559	032204	HGJH	INSURANCE	Mar 9, 2023	032IDCB000017631
Link					•	
Link Link Link	1559	032204	HGJH	INSURANCE	Mar 9, 2023	032IDCB000017631
Link Link	1559 2649	032204	HGJH	INSURANCE	Mar 9, 2023 Mar 29, 2023	032IDCB000017631 032ILCC000021179

Post linking the document, the user can View, Edit and Download the document.

7. Click **Edit** icon to edit the documents. The Edit Document screen is displayed.

2400 wqwq Application Reference Number Entity Reference Number PK2ILCI000019041 PK2ILCI000019041 Document Type Id Document Description TFPM_DOCTYPE001 Document Expiry Date Image: state s	titity Reference Number K2ILCI000019041 Document Description	
PK2ILCI000019041 PK2ILCI000019041 Document Type Id Document Description TFPM_DOCTYPE001 Image: Comparison of the second s	K2ILCI000019041 bocument Description bocument Expiry Date	
Document Type Id Document Description TFPM_DOCTYPE001 Remarks Document Expiry Date Jun 29, 2022	ocument Description	
TFPM_DOCTYPE001 Document Expiry Date Remarks Jun 29, 2022	ocument Expiry Date	
Remarks Document Expiry Date		
Jun 29, 2022		
Drop files here or click to select Current selected files: []	un 29, 2022	
	rrent selected files: []	

3.3 OBTFPM- OBDX Bidirectional flow

As a part of Digital Experience, customers can initiate Trade Finance Transactions from online channels and the respective task will be available in OBTFPM for further handling.

OBTFPM user, for task received from online channel, raise clarification and receive response from the customer.

1. Customer initiates the Trade Finance transaction in Online channel (OBDX) and upload the necessary documents.

= ORACLE	Free Tasks	Mar 22, 2019 SUNDARO subham@gmail.co/
mport LC Issuance - Scru	iny :: Application No: PK2ILCI000042424	🔢 Clarification Details 🔢 🖏 🖷 Overrides 💉 🗧
• Main	Main	Screen (1/6
Aralabiity Payment Addional Fields Additional Details Summary	Application Details Received From Applicant Bar Priority Medium Customer Reference Number	Branch PR2-FLERCUBE UNIVERSAL BANK Process Reference Number PR2/BLI0000042424 Template Name Q
	Advising Bank	Product Description 23 - Reference To Pre-Advice
	31C - Date Of Issue * Mar 22, 2019 51A - Applicant Bank	31D - Place Of Expiry * Cose 39A - Percentage Credit Amount Tolerance
	Limits/Collateral Required 39C - Additional Amount Covered	

2. The task created will land in the Scrutiny stage of OBTFPM for handling by Trade expert for reviewing and identifying mismatch/incomplete data.



3. In the Scrutiny, Data Enrichment or Approval the bank user may require clarification from customer, OBTFPM user clicks **Request Clarification** button to request for online clarification from customer.

ORACLE	Free Tasks					(PK2) Mar 22, 2019	sul	SRIDHA! cham@gmail/
oort LC Issuance - Sci	utiny :: Application No: PK2ILCI000042572					Clarification Details	🔢 📭 🕫 Overrides	1
Main	Main							Screen (1/
Availability	Application Details							
Payment	Received From Applicant Bank	Received From - Customer ID		Received From - Custo	omer Name	Branch		
Additional Fields		006214	0	Good Health Hospita	ils	PK2-FLEXCUBE	UNIVERSAL BANK 🔻	
Additional Details	Priority	Submission Mode		32B - Currency Code,	Amount	Process Referen	te Number	
Summary	Medium 💌	Desk	v	GBP 💌	£1,444.00	PK2ILCI000042		
	Customer Reference Number	Application Date						
		Mar 22, 2019	<u></u>					
	▲ LC Details							
	Revolving	LC Type		Product Code *	0	Product Descrip		
		Sight		ILSN	٩,	ILSN Import LC	Sight Non Revolving	
	Advising Bank	40A - Form Of Documentary Cre	dit *	20 - Documentary Cre	edit Number	23 - Reference T	o Pre-Advice	
	Q	IRREVOCABLE	Ŧ	PK2ILSN19081EH9W				
	31C - Date Of Issue *	40E - Applicable Rules *		Date Of Expiry *		31D - Place Of E	xpiry *	
	Mar 22, 2019	UCP LATEST VERSION	Ŧ	Dec 17, 2020	**	TN		
	51A - Applicant Bank	Applicant		Beneficiary *		39A - Percentag	e Credit Amount Tolerance	
		006214 Good Health	Ho 隆	006217 0	XYZ Company ┣	/		
	Limits/Collateral Required	39C - Additional Amount Covere	ed					
_				Request Clarificat	ion Reject Refer	Hold Cancel	Save & Close Back	Next

- 4. The **Request Clarification** detailed screen appears, user enters the information and clicks **Save**, the information should be sent to customer.
- 5. OBTFPM user should be able to see the details in the View Clarification window and the status will be Clarification Requested. The user can click Re clarification if required.

npo	LC Issuance - Scrutiny :: Application No: PK2ILCI000042424	Werrides	ľ
	larification Details - Application No : PK2ILCl000042424	×	
	► ~ B I U Ŧ A -size. ▼ E Ξ Ξ E E Ξ Ξ H1 H2 ···· · · · · · · · · · · · · · · · ·		
	Proforma Invoice is not uploaded. Instead some other document is uploaded as proforma invoice. Please delete the present document and upload the proforma invoice		
l		ave & Close	



6. The task goes to **Awaiting Customer Clarification** state until the response received from the customer.

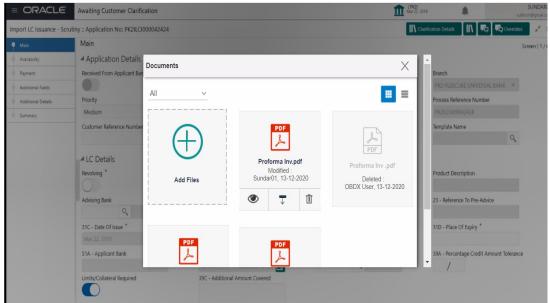
= ORACLE					arification					(PK2) Mar 22, 2019		SRIDHAR02 subham@gmail.com
Menu Item Search	Q		C Refrest	h 😰)	Assign 🕴 Flow Diagra	m						
Core Maintenance	•	, ,	Action P	Priority	Process Name	Process Reference Number	Application Number	Stage	Application Dat	e Branch	Customer Number	Amount
Dashboard		,		M	Import LC Issuance	PK2ILCA000042586	PK2ILCA000042586	Scrutiny	20-12-16	PK2	006466	
Machine Learning	<u>۲</u>											
Maintenance	•											
Security Management	•											
	*											
Awaiting Customer Clarification												
Completed Tasks												
Free Tasks												
Hold Tasks												
My Tasks												
Search			_		1 of 1 items) K							
Supervisor Tasks	[*]	age	1 01	ari (1-	for fittems j K <							
Trade Finance	*											
Administration	•											
Bank Guarantee Advise	•											
Bank Guarantee Issuance	•											
Enquiry												
Export - Documentary Collection	•											
7.	Cli	cł	k Ee	dit.								

Clarification Details - Application No : PK2ILC1000042424 x Clarification Response Date Response Type Status Proforma Invoice is not uploaded. SUNDARDI 2019-03-21T18.33 Clarification Requested Reclarification Merulal Update Clarification Clarification Clarification Reclarification Merulal Update E E H1 N2 00 E C T Enter text here. E E H1 N2 00 E C T E E E H1 N2 00 E C T E

- 8. The user can click **Accept Clarification** button, if the query raised has been answered by the customer. The status should change to Clarification Accepted. on next the task moves to the next logical stage.
- 9. Bank user checks the Clarification and opens the **Documents** Tab. System displays both the new document uploaded and the metadata for deleted document and the deleted document is displayed in a blurred way. User can open the new document, the deleted



document cannot be opened. System should also increment the version number of the documents.



3.3.1 Bi-Directional Flow for Offline Transactions Initiated from OBTFPM

This topic provides the systematic instructions to initiate the Bi-Directional Flow for Offline Transactions Initiated from OBTFPM.

Offline Transactions means those transactions which are not initiated by OBDX, but are initiated directly by the bank user in OBTFPM upon request received from the customer.

Pre- Conditions:

- Customer Maintenance details are replicated from OBTF to OBTFPM.
- Task is initiated in OBTFPM, Customer ID is captured/populated and Process Reference Number is generated.
- 1. Customer Maintenance details are replicated from OBTF to OBTFPM.
- 2. In OBTFPM, user clicks Request Clarification, the system checks if the request is initiated from OBDX by validating the value available in the submission mode field is "Online".
- 3. In case submission mode is "Online", the user can enter the clarification details in "Clarification Required" placeholder. In case submission mode is not "Online", the system will validates if the counterparty is a OBDX customer by checking the flag "Trade Finance Portal" in the Customer Maintenance table replicated from OBTF. In this case, the user can submit clarification.
- 4. In case submission mode is not "Online", and if the "Trade Finance Portal" flag is set to 'No' in Customer Maintenance Table, the system should display the error message that 'The customer is not subscribed to Trade Finance Portal'. Once the request is submitted, the Request Clarification functionality would be applicable to offline initiated transactions also.

3.4 <u>Scrutiny</u>

On successful completion of Registration of an Import LC issuance request, the request moves to Scrutiny stage. At this stage the gathered information during Registration are scrutinized.



Non-Online Channel - Import LC Issuance request that were received at the desk will move to Scrutiny stage post successful Registration. The requests will have the details entered during the Registration stage.

Online Channel - Requests that are received via online channels like trade portal, external system and SWIFT are available directly for further processing from Scrutiny stage. The data gets auto populated for all data segments from Application stage to Data Enrichment stage.

Tasks received vide MT798, the task is verified and enriched. The user if required can update the editable fields. The fields that have been changed/updated have to be highlighted by the system and the user can check the incoming message place holder for the original value. If the User encounters validation error during handling the task, a MT719 (Bank to Corporate message) common group message is created in the workflow and the task is put on 'Hold'. Do the following steps to acquire a task currently at Scrutiny stage:

1. Using the entitled login credentials for Scrutiny stage, login to the OBTFPM application.

🕝 FuTura Bank
Sign In
User Name *
SRIDHAR
Password *
Sign In

2. On login, user must be able to view the dashboard screen with widgets as mapped to the

e Maintenance 🔹 🕨	Draft Confirmation Pe	nding O	×	Hand-off Failure		o x	Priority Details		0 ×	+
hboard	Dian Commission Pe	noing		Hallo-oli Pallore			Phoney Details			
intenance	Customer Name	Application Date	¢	Branch	Process Name	Stage Name	Branch	Process Name	Stage Name	
s >	EMR & CO	25-06-2018	G	Bank Futura	NA	Retry HandOf	Bank Futura	NA	Amount Blo	
e Finance 🕨 🕨	NA	25-06-2018	G				Bank Futura	NA	Amount Blo	
	NA	21-06-2018	G				004	NA	Loan Applic	
		_			_			_		
	High Value Transaction	ns O	×	SLA Breach Deta	ails	o ×	Priority Summar	V Cucumber Te	* Ø ×	
	140K			Customer Name	SLA Breached	f(mins) Prior	Branch Pr	ocess Name	Stage Name	
	60K	• 0	80	NA	23474 H	KEERTIV01	203 Cu	cumber Testing	test descrip	
	20К			HSBC BANK	26667 M	SHUBHAM				
	-20K			WALL MART	23495	SHUBHAM				
	-2 0 2 4	6 8 10 12		EMR & CO	26780 M	GOPINATH01				
					-			-		

3. Click Tasks> Free Tasks.

3-25 ORACLE

= 🍞 FuTura B	ank	Free	Tasks						fbn uk (GS1) 🛗 Feb 1, 2019	SRIDHAR subham@gmail.cr
Core Maintenance	•		C Refresh	- Acqui	re 🔥 Delegate	Reassir	gn 🕴 Flow Diagram				
Dashboard		_									
Maintenance	•	•	Action	Priority	Application Number	Branch	Customer Number	Amount	Process Name	Stage	Back Office Ref No.
			Acquire & Edit	м	GS1ILCI000005978	GS1	000263		Import LC Issuance	Scrutiny	GS1ILSN19032BLHK
ecurity Management	•		Acquire & Edit	н	GS1ELCA000005979	GS1	000263		Export LC Advising	Data Enrichment	GS1ELAC19032BKQ0
sks	•		Acquire & Edit	н	GS1ELCA000005977	GS1	000263		Export LC Advising	Scrutiny	GS1ELAC19032BKPZ
Free Tasks			Acquire & Edit	M	GS11LCU000005976	GS1	000343		Import LC Update Drawings	Scrutiny	NA
			Acquire & Edit	н	GS1ELCA000005972	GS1	000263		Export LC Advising	Scrutiny	GS1ELAC19032BKPW
Hold Tasks			Acquire & Edit	н	GS1ILCI000005971	GS1	000263	F23'222'12	Import LC Issuance	Scrutiny	GS1ILSN19032BL46
Supervisor Tasks ade Finance	•		evious 1 - 10 d	of 2524 reco	ords Next						
Bank Guarantee Advid	ce 🕨										
Bank Guarantee Issua)										
Export - Documentary	y ▶										
Import - Documentar	•••										
Import - Documentar											
Import LC Update I	Dra										
Import LC Amenda	nent										
Import LC Amenda	nen										

4. Select the appropriate task and click **Acquire & Edit** to edit the task or click **Acquire** to edit the task from **My Tasks**.

= 루 FuTura B	ank	Free	Tasks						fbn uk ((GS1) i Feb 1, 2019	SRIDHAR01 subham@gmail.com
Core Maintenance	•		C Refresh	- ↔ Acqui	ire 🔥 Delegate	 Reassigned 	gn 🕴 Flow Diagram				
Dashboard		_)			
Maintenance	•	-	Action	Priority	Application Number	Branch	Customer Number	Amount	Process Name	Stage	Back Office Ref No.
			Acquire & Edit	М	GS1ILCI000005978	GS1	000263		Import LC Issuance	Scrutiny	GS1ILSN19032BLHK
Security Management	•		Acquire & Edit	н	GS1ELCA000005979	GS1	000263		Export LC Advising	Data Enrichment	GS1ELAC19032BKQ0
Tasks	•		Acquire & Edit	н	GS1ELCA000005977	GS1	000263		Export LC Advising	Scrutiny	GS1ELAC19032BKPZ
			Acquire & Edit	М	GS11LCU000005976	GS1	000343	£11,000.00	Import LC Update Drawings	Scrutiny	NA
Free Tasks			Acquire & Edit	н	GS1ELCA000005972	GS1	000263	£99,999.19	Export LC Advising	Scrutiny	GS1ELAC19032BKPW
Hold Tasks			Acquire & Edit	н	GS1ILCI000005971	GS1	000263	£99,999.19	Import LC Issuance	Scrutiny	GS1ILSN19032BL46
Trade Finance Bank Guarantee Advi Bank Guarantee Issua											
Export - Documentar	y ▶										
Import - Documentar											
Import - Documentar											
Import LC Update	Dra										
Import LC Amendr	nent										
Import LC Amendr											

5. The acquired task will be available in **My Tasks** tab. Click **Edit** to scrutinize the registered task.

😑 🍞 FuTura Ba	nk	My 1	lasks						fB FB	N UK (GS1) 🛗 Feb 1, 2019	SRIDHAR subham@gmail.c
Core Maintenance	•		C Ref	resh 🕫	Release 🕴 Flow D	Diagram					
Dashboard		_									
Maintenance	•	•		Priority	Application Number	Branch	Customer Number	Amount	Process Name	Stage	Back Office Ref No.
		₹	Edit	М	GS1ILCI000005978	GS1	000263	£15,000.00	Import LC Issuance	Scrutiny	GS1ILSN19032BLHK
ecurity Management	•		Edit		GS1ELCL000005932	GS1	000262	£100,000.00	Export LC Liquidation	Registration	GS1ELAC19032B9WV
asks	-		Edit		GS1ELCL000005931	GS1	000262	£20,000.00	Export LC Liquidation	Registration	GS1ELAC190322006
200			Edit		GS1ELCL000005930	GS1	000262	£100,000.00	Export LC Liquidation	Registration	GS1ELAC19032B9X1
Free Tasks			Edit		GS1ELCL000005929	GS1	000263	£100,000.00	Export LC Liquidation	Registration	GS1ELAC19032BDDW
Hold Tasks			Edit		GS1ELCL000005928	GS1	000263	£100,000.00	Export LC Liquidation	Registration	GS1ELAC19032BDDW
My Tasks			_								
iny iusks		Pag	e 1 of 1	(1-10 of 1	0 items) K < 1	K <					
Search											
Supervisor Tasks		Pr	evious	1 - 10 of 14	records Next						
rade Finance											

The Scrutiny stage has five sections as follows:



- Main Details
- Availability
- Payment
- Additional Fields
- Additional Details
- Summary

Let's look at the details for Scrutiny stage. User can enter/update the following fields. User cannot edit the fields that are already having value from Registration/online channels.

Audit - This button provides information about user initiated the transaction, initiated date, stage wise detail etc..

GS11LC1000005978 Process Name	GS1	2019-11-28		
Process Name				RIDHAR01
Import LC Issuance				
S.No 🔺 Stage Na	ame Pickup Time	Completed Time	Completed By	Outcome
Registrat	tion 2019-11-28 10	0:50:06 2019-11-28 11:09:56	SRIDHAR01	PROCEED

3.4.1 <u>Main Details</u>

Main details section has three sub section as follows:

- Application Details
- LC Details

3.4.1.1 Application Details

All fields displayed under Application details section are read only except for the **Priority** and **Customer Reference Number**. Refer to 3.2.1 Application Details for more information of the fields.

ort LC Issuance tiny :: Application	No:- 091ILCI000001646	Clarification Details Documents R	emarks Overrides Customer Instruction	Incoming Message Signatures
1ain	Main			Screen (1
vailability	Application Details			
ayment	Received From Party	Received From - Customer ID	Received From - Customer Name	Branch
dditional Fields	Select v	091215 Q	ABU DHABI 1	091-Islamic Trade Branch 🔍
lditional Details	Priority	Submission Mode	32B - Currency Code, Amount	Process Reference Number
Summary	Medium 👻	Desk 👻	AED - AED 1,000.00	091ILCI000001646
	Customer Reference Number	Application Date	Copy LC	Template Name
		Aug 3, 2023	Q	Q
	Advising Bank	Select 40A - Form of Documentary Credit *	Q 20 - Documentary Credit Number	User Reference Number
	Q	IRREVOCABLE .		
	23 - Reference To Pre-Advice	31C - Date of Issue *	40E - Applicable Rules *	31D - Date of Expiry *
		Aug 3, 2023	EUCPURR LATEST VERSION	±
	31D - Place of Expiry *	51A - Applicant Bank	Applicant	Beneficiary *
		032307 🔍 National Bank 💽	Q	٩,
	39A - Percentage Credit Amount Tolerance	Limits/Collateral Required	39C - Additional Amount Covered	Accountee
		\bigcirc		
	Amount In Local Currency	Back to Back LC	Auto Close	Closure Date
	AED 🔻			



3.4.1.2 LC Details

The fields listed under this section are same as the fields listed under the 3.2.2 LC Details section in 3.2 Registration. Refer to 3.2.2 LC Details for more information of the fields. During Registration, if user has not captured input, then user can capture the details in this section.

Fuyincin	▲ LC Details			
Additional Fields	= LC Details			
Additional Details	Revolving *	LC Type *	Product Code	Product Description
		Select 🔻	Q	
Summary	Advising Bank	40A - Form of Documentary Credit *	20 - Documentary Credit Number	User Reference Number
	Q	IRREVOCABLE *		
	23 - Reference To Pre-Advice	31C - Date of Issue *	40E - Applicable Rules *	31D - Date of Expiry *
		Aug 3, 2023	EUCPURR LATEST VERSION	
	31D - Place of Expiry *	51A - Applicant Bank	Applicant	Beneficiary *
		032307 🔍 National Bank 💽	Q	Q,
	39A - Percentage Credit Amount Tolerance	Limits/Collateral Required	39C - Additional Amount Covered	Accountee
	/	\bigcirc		
	Amount In Local Currency	Back to Back LC	Auto Close	Closure Date
	AED 👻	\bigcirc		
Audit		Request	Clarification Reject Refer Hold	Cancel Save & Close Back

3.4.1.3 Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Clarification Details	Clicking the button opens a detailed screen, user can see the clarification details in the window and the status will be Clarification Requested.	
Documents	Click the Documents button to View/Upload the required documents.	
	Application will display the mandatory and optional documents.	
	The user can view and input/view application details simultaneously.	
	When a user clicks on the uploaded document, Document window get opened and on clicking the view icon of the uploaded document, Application screen should get split into two. The one side of the document allows to view and on the other side allows to input/view the details in the applica- tion.	
Remarks	Click the Remarks button to provide any addi- tional information. This information can be viewed by other users processing the request.	
	Content from Remarks field should be handed off to Remarks field in Backend application.	
Overrides	Click to view overrides, if any.	

Field	Description	Sample Values
Customer Instruction	Click to view/ input the following	
	 Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this. 	
	 Transaction Level Instructions – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions. 	
Request Clarification	User should be able to specify the clarification details for requests received online.	
Incoming Message	This button displays the multiple messages.	
	In case of MT798, the User can click and view the MT798 message(770,700/701).	
	In case of MT798-MT726-MT759 request, user can view MT798 message(726-759) in this place-holder in Header of the task.	
	In case of MT798_MT788-MT799 request, user can view MT798 message (788-799) in this placeholder in Header of the process-task.	
Signatures	Click the Signature button to verify the signature of the customer/ bank if required.	
	The user can view the Customer Number and Name of the signatory, Signature image and the applicable operation instructions if any available in the back-office system.	
	If more than one signature is required, system should display all the signatures.	
Request Clarification	User should be able to submit the request for clarification to the "Trade Finance Portal" User for the transactions initiated offline.	
Refer	User must select a Refer Reason from the values displayed by the system.	
	Refer Codes:	
	 R1- Documents missing 	
	R2- Signature Missing	
	• R3- Input Error	
	 R4- Insufficient Balance/Limits R5 - Others. 	
	* 110 - Ouleia.	



Field	Description	Sample Values
Save & Close	Save the information provided and holds the task in you queue for working later. This option will not submit the request.	
Cancel	Cancel the LC Issuance Scrutiny stage inputs and the task gets landed in My Task menu.	
Hold	The details provided will be on hold. This option is used, if there are any pending infor- mation yet to be received from applicant and appropriate remarks must be provided.	
Reject	 On click of Reject, user must select a Reject Reason from a list displayed by the system. Reject Codes: R1- Documents missing R2- Signature Missing R3- Input Error R4- Insufficient Balance/Limits R5 - Others. Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process. On reject, a reject notification gets generated to the applicant. 	
Next	On click of Next, system should validate if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.	

3.4.2 <u>Availability</u>

User must Input/Update Availability, Shipment and Goods details of an Import LC request for the different fields under the respective data segments.



3.4.2.1 Availability Details

port LC Issuance rutiny :: Application	No:- PK2ILCI000025608		Clarification Details	Documents	Remarks	Overrides	Customer Instruction	Common Group Messa	ges Incoming Mes	ssage Signatures	×*
Main	Availability		Transaction Log								Screen (2
Availability	🔺 Availability Deta	ils									
Payment	41a-Available with *		41a-Ava	ailable By *			42C-Drafts At		42a-Dra		_
Additional Fields	CITIGB2LXXX	Q,	BY ACC	EPTANCE	*					Q	
Additional Details	42 P/M - Payment Detail	s									
Summary	✓ Shipment Detail										
	43P-Partial Shipments	15	42T-Tra	nsshipment			44A-Place of Taking	in Charge	44E-Bort	t of Loading	
	NOT ALLOWED	*		LLOWED	v		Source of shipment			Loading	
	44F-Port of Discharge			ce of Final Destina	tion		44C-Latest Date of S			pment Period	
	Port of discharge			ation of shipment	ition		Sep 18, 2022	inpinent iii	440-311	pinent Period	
	Transport Mode			ort Details			Additional Shipment				
		v	in an apropriet	in becans			riddicional priipriteri				
	▲ 45A Description	on of Goods an	d/or Services								
	INCO Terms		INCO Te	erms Description							
	CIF	Q,	Cost, Ir	nsurance and Fre	ight (named de						
	Goods Code	Goods Type	Goods Description	on			No of Units	Price per Unit	Total Amount	Action	
	UPLD_GOODS2	G	from good des			C	10	AED 10.00	AED 100.00	1	۵

Provide the Availability Details based on the description in the following table:

Field	Description	Sample Values
Available With	This field identifies the bank with which the credit is available.	
	Online Channel - Read only	
	Non Online Channel - User must capture the bank details or any free text.	
	 If the LC is restricted to any particular bank, search the bank with SWIFT code (BIC) or Bank Name. 	
	Available With	
	BIC Bank Name	
	Fetch	
	BIC Bank Name	
	No data to display. Page 1 (0 of 0 items) K< < 1 > ⋊	
	Page 1 (U or U items) K (1) X	
	On selection of the record if SWIFT code is avail- able, then SWIFT code will be defaulted. If SWIFT code is not available then the bank's name and address gets defaulted.	
	 If the LC is not restricted to any bank, provide free text - (YOURSELVES, WITH ANY BANK etc.). 	

Field	Description	Sample Values
Available By	Online Channel – Read-only	
	Non Online Channel – Choose one of the follow- ing values from drop down.	
	BY ACCEPTANCE	
	BY DEF PAYMENT	
	BY MIXED PAYMENT	
	BY NEGOTIATION	
	BY PAYMENT	
	Validation:	
	1) If By Mixed Payment option is selected, there must be a value in tag 42M- Mixed payment	
	2) If By deferred payment is selected, there must be a value in tag 42P- Deferred payment	
	3) If By payment is selected, payment at sight is applicable.It is applicable for Sight Type of product only.	
Drafts At	This field specifies the tenor of drafts to be drawn under the documentary credit.	
	Provide the draft details.	
Drawee	This field will have value only if 'Drafts at' field has values.	
	Select the Drawee bank (Advising bank or Con- firming bank).	
	 Search the bank with SWIFT code (BIC) or Bank Name. 	
	Available With X	
	Fetch BIC Bank Name	
	No data to display.	
	Page 1 (0 of 0 items) K 1 > X	
	On selection of the record if SWIFT code is avail- able, then SWIFT code will be defaulted. If	
	SWIFT code is not available then the bank's	
	name and address to be defaulted.	
	Note	
	This field is mandatory if value is provided at Drafts At field.	
Payment Details	This field is applicable if, Available By filed has Mixed Payment or Deferred Payment .	
	This field is enabled, if you select BY PAYMENT option in the Available By field.	



3.4.2.2 Shipment Details

Provide the Shipment Details based on the description in the following table:

Field	Description	Sample Values
Partial Shipments	This field specifies whether or not partial ship- ments are allowed under the documentary credit.	
	Online Channel – Read only	
	Non Online Channel - Select the appropriate value from the drop down. Available values are:	
	ALLOWED	
	CONDITIONAL	
	NOT ALLOWED	
Transshipment	This field specifies whether or not transshipment is allowed under the documentary credit.	
	Online Channel – Read only	
	Non Online Channel - Select the appropriate value from the drop down. Available values are:	
	ALLOWED	
	CONDITIONAL	
	NOT ALLOWED	
Place Of Taking In Charge	This field specifies the place of taking in charge (in case of a multi-modal transport document), the place of receipt (in case of a road, rail or inland waterway transport document or a courier or expedited delivery service document), the place of dispatch or the place of shipment to be indicated on the transport document.	
	Online Channel – Read only	
	Non Online Channel - Provide the details of place of taking in charge.	
	Note	
	This field is alternate to Port Of Loading . Any of these fields must have value and if both the fields has values, application will display an error message.	

Field	Description	Sample Values
Port Of Loading	This field specifies the Port/Airport of Loading to be indicated on the transport document.	
	Online Channel – Read only	
	Non Online Channel - Provide the details of Port/ Airport of Loading.	
	Note	
	This field is alternate to Place Of Taking In Charge . Any of these fields must have value and if both the fields has values, application will display an error message.	
Port Of Discharge	This field specifies the port of discharge or airport of destination to be indicated on the transport document.	
	Online Channel – Read only	
	Non Online Channel - Provide the details of Port/ Airport of Discharge.	
	Note	
	This field is alternate to Place Of Fi- nal Destination . Any of these fields must have value and if both the fields has values, application will display an error message.	
Place Of Final Destination	This field specifies the final destination or place of delivery to be indicated on the transport document.	
	Online Channel – Read only	
	Non Online Channel - Provide the details of Place Of Final Destination.	
	Note	
	This field is alternate to Port Of Dis- charge . Any of these fields must have value and if both the fields has values, application will display an error message.	

Field	Description	Sample Values
Latest Date Of Shipment	Provide the latest date for loading on board/dis- patch/taking in charge.	
	Note	
	This field is alternate to Shipment Period . Latest date of shipment or shipment period must have value and if both the fields has values, ap- plication will display an error mes- sage.	
Shipment Period	Online Channel – Read- only	
Shiphient Fellou	Non Online Channel - Provide the details of Ship- ment.	
	Note	
	This field is alternate to Latest Date Of Shipment . Latest date of ship- ment or shipment period must have value and if both the fields has val- ues, application will display an error message.	
Transport Mode	Select the transportation mode.	
	The options are:	
	• Air	
	• Sea	
	 Road Rail 	
	Multimodal	
	Other	
Transport Details	Specify the transportation details of shipment.	
Additional Shipment Details	Specify the transportation additional shipment details, if any.	



3.4.2.3 Description Of Goods And Or Services

This field contains a description of the goods and/or services. Provide the Shipment Details based on the description in the following table:

Field	Description	Sample Values
INCO Terms	Online Channel - Read only.	
	Non Online Channel - Select the appropriate INCO terms.	
INCO Term Description	The description of the INCO Term.	
+ Icon	Click + icon to add goods details.	
Delete Icon	Click - icon to remove goods details.	
Goods Code	Click look up icon to select the goods code. Once you select goods code, value will populate in Goods Type and Goods Description.	
Goods Type	The goods type is auto populated depending on selected goods code.	
Goods Description	The goods description is auto populated depend- ing on selected goods code.	
No of Units	Enter the number of units being imported or exported.	
Price per Unit	Enter the value for price per unit.	
Total Amount	System to calculate the total price	
	In case of online request, the system should pop- ulate the total amount from incoming request.	
	System should validate that the total amount is equal to the value of the transaction (LC/Collection).	
Action	Click Edit icon to edit the goods detail.	
	Click Delete icon to delete the goods detail.	

3.4.2.4 Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Clarification Details	Clicking the button opens a detailed screen, user can see the clarification details in the window and the status will be Clarification Requested.	



Field	Description	Sample Values
Documents	Click the Documents button to View/Upload the required documents.	
	Application will display the mandatory and optional documents.	
	The user can view and input/view application details simultaneously.	
	When a user clicks on the uploaded document, Document window get opened and on clicking the view icon of the uploaded document, Application screen should get split into two. The one side of the document allows to view and on the other side allows to input/view the details in the applica- tion.	
Remarks	Click the Remarks button to provide any addi- tional information. This information can be viewed by other users processing the request.	
	Content from Remarks field should be handed off to Remarks field in Backend application.	
Overrides	Click to view overrides, if any.	
Customer Instructions	Click to view/ input the following	
	 Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this. 	
	• Transaction Level Instructions – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions.	
Request Clarification	User should be able to specify the clarification details for requests received online.	
Incoming Message	This button displays the multiple messages.	
	In case of MT798, the User can click and view the MT798 message(770,700/701).	
	In case of MT798-MT726-MT759 request, user can view MT798 message(726-759) in this place-holder in Header of the task.	
	In case of MT798_MT788-MT799 request, user can view MT798 message (788-799) in this placeholder in Header of the process-task.	

Field	Description	Sample Values
Signatures	Click the Signature button to verify the signature of the customer/ bank if required.	
	The user can view the Customer Number and Name of the signatory, Signature image and the applicable operation instructions if any available in the back-office system.	
	If more than one signature is required, system should display all the signatures.	
Request Clarification	User should be able to submit the request for clarification to the "Trade Finance Portal" User for the transactions initiated offline.	
Refer	User must select a Refer Reason from the values displayed by the system.	
	Refer Codes:	
	R1- Documents missing	
	R2- Signature Missing	
	R3- Input Error	
	R4- Insufficient Balance/Limits	
	• R5 - Others.	
Save & Close	Save the information provided and holds the task	
	in you queue for working later.	
	This option will not submit the request.	
Cancel	Cancel the LC Issuance Scrutiny stage inputs and the task gets landed in My Task menu.	
Hold	The details provided will be on hold.	
	This option is used, if there are any pending infor-	
	mation yet to be received from applicant and appropriate remarks must be provided.	
Reject	On click of Reject, user must select a Reject Reason from a list displayed by the system.	
	Reject Codes:	
	R1- Documents missing	
	R2- Signature Missing	
	R3- Input Error	
	R4- Insufficient Balance/Limits	
	• R5 - Others.	
	Select a Reject code and give a Reject Descrip- tion.	
	This reject reason will be available in the remarks window throughout the process. On reject, a reject notification gets generated to the applicant.	



Field	Description	Sample Values
Next	On click of Next, system should validate if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.	

3.4.3 Payment

rutiny :: Application	No:- PK2ILCI000018078	Clarification Details Documents Remark	s Overrides Customer Instruction Incoming Messag	e Signatures Transaction Log	.*
Main	Payment				Screen (
Availability	Payment Details				
Payment	49G-Special Payment conditions for	49H-Special Payment conditions for	48-Period for Presentation	49-Confirmation Instructions *	
Additional Fields	beneficiary	receiving bank	20 Days	WITHOUT	•
Additional Details	58A - Requested Confirmation Party	58A - Requested Confirmation Party	57A-Advise Through Bank	78-Instructions to P/A/N Bank	
Summary	v		000328 🔍 HSBC BANK 🕒	INSTRUCTION5	
	72-Sender to Receiver Information	71D - Charges			
	SND2RECMT700 Q				
	Reimbursement Authorization 53A - Reimbursing Bank	Reimbursing Bank Charge Type	Reimbursing Bank Charge Details		
	000330 Q CITIBANK	Claimants v	Rembursing bank charge becans	72-Sender to Receiver Information	
	000330 CHIBANK	Claimants		Q	

Provide the Payment Details based on the description in the following table:

Field	Description	Sample Values
Special Payment condi- tions for beneficiary	Online and Non Online Channels – If any special payment condition has to be provided to beneficiary, the details for the same must be captured in this field.	
Special Payment condi- tions for receiving bank	Online and Non-online channels –If any special payment condition has to be provided to receiving bank, the details for the same must be captured in this field. This field specifies special payment conditions applicable to the receiving bank with- out disclosure to the beneficiary, for example, post-financing request/conditions for receiving bank only.	
Period for Presentation	Online Channel – Read only. Non Online Channel – If the period of presenta- tion is based on any event other than shipment, then you can capture the event name in text along with the number of days in number.	



		1
Field	Description	Sample Values
Confirmation Instructions	Online Channel – Read only.	
	Non Online Channel - Select the confirmation instruction for the LC from the available LOV values – CONFIRM, MAY ADD, WITHOUT.	
	Applicable only if field 49 - confirmation instruc- tion is 'confirm' or 'may add'. You can search through LOV, Party type with banks should only be displayed in LOV. The system must display the following:	
	a) SWIFT code (if available),	
	b) Name and address of the bank	
	On selection of the record, if SWIFT code is avail- able then SWIFT code will be defaulted. If SWIFT code is not available then the bank's name and address to be defaulted.	
Requested Confirmation Party	Online and Non-Online Channels – Provide requested confirmation party details. If the Requested Confirmation Party has the value as Others then appropriate value must be selected from the LOV.	
	Note	
	This field is applicable if the Confirmation Instructions is Confirm or May Add .	
Requested Confirmation Party	Click Search to search and select the appropriate requested confirmation party, in case of online and non-online channels.	
	This field is enabled if the Confirmation Instruc- tions is CONFIRM or MAY ADD and 58A - Requested Confirmation Party is Others .	
Advise Through Bank	Online Channel – User can update the details received.	
	Non-Online Channel -	
	Search through LOV. Party type with banks must be displayed in LOV.	
	SWIFT code (if available)	
	 Name and address of the bank 	
	On selection of the record if SWIFT code is avail- able, then SWIFT code will be defaulted. If SWIFT code is not available then the bank's name and address to be defaulted.	

Field	Description	Sample Values
Instructions to P/A/N Bank	Online Channel- User can update details received.	
	Non Online Channel – Provide the details in this field.	
72- Sender to Receiver Information	Online Channel – User can update details received.	
	Non Online Channel – Provide details (FFT).	
Charges	Online Channel – User can update details received.	
	Non Online Channel – Provide details (FFT).	
Reimbursement Authorization	on	
Reimbursing Bank	Click Search icon and select the reimbursing bank, from the look-up.	
Reimbursing Bank Charge	Select the reimbursing bank charge type.	
Туре	The options are:	
	 Claimants - Select this option, if the charges are to be claimed from Beneficiary 	
	 Ours - Select this option, if the charges are to be borne by Applicant 	
	This field should be enabled only if Reimbursing Bank field has value.	
Reimbursing Bank Charge Details	Specify the additional details about reimbursing bank charges.	
	This field should be enabled only if Reimbursing Bank field has value.	
Sender to Receiver Infor- mation-MT740	Select the FFT maintained for MT740 and change the description if required.	

3.4.3.1 Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Clarification Details	Clicking the button opens a detailed screen, user can see the clarification details in the window and the status will be Clarification Requested.	

		Sample
Field	Description	Sample Values
Documents	Click the Documents button to View/Upload the required documents.	
	Application will display the mandatory and optional documents.	
	The user can view and input/view application details simultaneously.	
	When a user clicks on the uploaded document, Document window get opened and on clicking the view icon of the uploaded document, Application screen should get split into two. The one side of the document allows to view and on the other side allows to input/view the details in the applica- tion.	
Remarks	Click the Remarks button to provide any addi- tional information. This information can be viewed by other users processing the request.	
	Content from Remarks field should be handed off to Remarks field in Backend application.	
Overrides	Click to view overrides, if any.	
Customer Instructions	Click to view/ input the following	
	 Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this. 	
	• Transaction Level Instructions – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions.	
Request Clarification	User should be able to specify the clarification details for requests received online.	
Incoming Message	This button displays the multiple messages.	
	In case of MT798, the User can click and view the MT798 message(770,700/701).	
	In case of MT798-MT726-MT759 request, user can view MT798 message(726-759) in this place-holder in Header of the task.	
	In case of MT798_MT788-MT799 request, user can view MT798 message (788-799) in this placeholder in Header of the process-task.	

Field	Description	Sample Values
Signatures	Click the Signature button to verify the signature of the customer/ bank if required.	
	The user can view the Customer Number and Name of the signatory, Signature image and the applicable operation instructions if any available in the back-office system.	
	If more than one signature is required, system should display all the signatures.	
Request Clarification	User should be able to submit the request for clarification to the "Trade Finance Portal" User for the transactions initiated offline.	
Refer	User must select a Refer Reason from the values displayed by the system.	
	Refer Codes:	
	R1- Documents missing	
	R2- Signature Missing	
	R3- Input Error	
	R4- Insufficient Balance/Limits	
	• R5 - Others.	
Save & Close	Save the information provided and holds the task	
	in you queue for working later.	
	This option will not submit the request.	
Cancel	Cancel the LC Issuance Scrutiny stage inputs and the task gets landed in My Task menu.	
Hold	The details provided will be on hold.	
	This option is used, if there are any pending infor- mation yet to be received from applicant and appropriate remarks must be provided.	
Reject	On click of Reject, user must select a Reject Reason from a list displayed by the system.	
	Reject Codes:	
	 R1- Documents missing 	
	R2- Signature Missing	
	R3- Input Error	
	 R4- Insufficient Balance/Limits 	
	• R5 - Others.	
	Select a Reject code and give a Reject Descrip- tion.	
	This reject reason will be available in the remarks window throughout the process. On reject, a reject notification gets generated to the applicant.	



Field	Description	Sample Values
Next	On click of Next, system should validate if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.	

3.4.4 Additional Fields

Banks can configure these additional fields during implementation.

							LIENIIIY)	Ξ	Jun 10, 2021	g nade rinan.	.	subham@gmai	
Import LC Issuance Scrutiny :: Application No	:- PK2ILCI000018078	Clarification Details	Documents	Remarks	Overrides	Customer Instruction	Incom	ing Message	Signati	ures Tri	ansaction Log	1	×
Main	Additional Fields											Screen (4	/ 6)
Availability	Additional Fields												
Payment													
Additional Fields	▲ TMIS												
Additional Details	COS_CENTR												
Summary													
	✓ FMIS												
	FUND												
	▲ CMIS												
	ACCC_OFFCR												
Audit						Request Clarification	Reject	Refer	Hold	Cancel	Save & Close	Back Ne	ĸt

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Clarification Details	Clicking the button opens a detailed screen, user can see the clarification details in the window and the status will be Clarification Requested.	
Documents	Click the Documents button to View/Upload the required documents.	
	Application will display the mandatory and optional documents.	
	The user can view and input/view application details simultaneously.	
	When a user clicks on the uploaded document, Document window get opened and on clicking the view icon of the uploaded document, Application screen should get split into two. The one side of the document allows to view and on the other side allows to input/view the details in the applica- tion.	

74074004

Field	Sample Values	
Remarks	Click the Remarks button to provide any addi- tional information. This information can be viewed by other users processing the request.	
	Content from Remarks field should be handed off to Remarks field in Backend application.	
Overrides	Click to view overrides, if any.	
Customer Instructions	Click to view/ input the following	
	 Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this. 	
	• Transaction Level Instructions – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions.	
Request Clarification	User should be able to specify the clarification details for requests received online.	
Incoming Message	This button displays the multiple messages.	
	In case of MT798, the User can click and view the MT798 message(770,700/701).	
	In case of MT798-MT726-MT759 request, user can view MT798 message(726-759) in this place-holder in Header of the task.	
	In case of MT798_MT788-MT799 request, user can view MT798 message (788-799) in this placeholder in Header of the process-task.	
Signatures	Click the Signature button to verify the signature of the customer/ bank if required.	
	The user can view the Customer Number and Name of the signatory, Signature image and the applicable operation instructions if any available in the back-office system.	
	If more than one signature is required, system should display all the signatures.	
Request Clarification	User should be able to submit the request for clarification to the "Trade Finance Portal" User for the transactions initiated offline.	

Field	Description	Sample Values
Refer	User must select a Refer Reason from the values displayed by the system. Refer Codes: R1- Documents missing R2- Signature Missing R3- Input Error R4- Insufficient Balance/Limits R5 - Others.	
Save & Close	Save the information provided and holds the task in you queue for working later. This option will not submit the request.	
Cancel	Cancel the LC Issuance Scrutiny stage inputs and the task gets landed in My Task menu.	
Hold	The details provided will be on hold. This option is used, if there are any pending infor- mation yet to be received from applicant and appropriate remarks must be provided.	
Reject	 On click of Reject, user must select a Reject Reason from a list displayed by the system. Reject Codes: R1- Documents missing R2- Signature Missing R3- Input Error R4- Insufficient Balance/Limits R5 - Others. Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process. On reject, a reject notification gets generated to the applicant. 	
Next	On click of Next, system should validate if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.	

3.4.5 Additional Details

ORACLE					血	(DEFAULTENT	TTY) flexc	UBE UNIVERSAL BAN	1	POORNIM/ subham@gmail.
port LC Issuance rutiny :: Application I	No:- 091ILCI000001646		Clarification Details	Documents	Remarks	Overrides	Customer Instruction	Incoming Message	Signatures	×
Main	Additional Details									Screen (5 /
Availability	Limits and Collaterals	:	Commission,	Charges an	:	Insurance	e Details	:		
Payment Additional Fields Additional Details Summary	Contribution Currency : Contribution Amount : Limit Status : Collateral Currency : Collateral Contr. : Collateral Status : Deposit Linkage Curr: Deposit Linkage Am :		Charge Commission Tax Block Status	: AED 15(: AED 50. : : Not Initi	00	Company Insured Am Expiry Date				
	FX Linkage FX Reference Number : Contract Currency : Contract Amount :	•								
udit					Request Clarifi	ation Rej	ject Refer Ho	ld Cancel S	ave & Close	Back Ne

3.4.5.1 Limits & Collateral

Г

On Approval, system should not release the Earmarking against each limit line and system should handoff the "Limit Earmark Reference Number "to the back office. On successful handoff, back office will make use of these "Limit Earmark Reference Number" to release the Limit Earmark done in the mid office (OBTFPM) and should Earmark the limit from the Back office.

In case multiple Lines are applicable, Limit Earmark Reference for all lines to be passed to the back office.

System defaults Counter party for Limit tracking, collateral and for Deposit Linkage. However, system should allow user to change customer ID from Counter party to Accountee, if Accountee is other than counter party.

Customer ID Li	nkage Type Liab	oility Number	Line Id/Linkage Ref No	Line Serial	Contribution %	Contributio	n Currency	Amount to Earmark	Limit Check Respon	se
No data to display.									_	
ash Collateral [Details									
ollateral Percentage		Collate	eral Currency and amount			Exchange	Rate			
	~ ^	AED	v			1.0		~ ^		
	Settlement Acco	unt Currency	Settlement Account	Exchange Rate	e Collateral %	Contributi	on Amount	Contribution Amount in	n Account Currency	+ Acc
equence Number										
equence Number	AED		0912150013		NaN	67				V
Sequence Number		Deposit Maturi			NaN		Linkson Amer	unt(Transaction Currency)	Edit	VI + Delete

Provide the Limit Details based on the description in the following table:



Limit Details	×
Customer Id	Linkage Type *
032204 Q	Facility 💌
Contribution % *	Liability Number *
100.0	032204 Q
Contribution Currency	Line Id/Linkage Ref No *
AED	032204AED Q
Limit/Liability Currency	Limits Description
AED	
Limit Check Response	Amount to Earmark *
Available	AED 110.00
Expiry Date	Limit Available Amount
	AED 0.00
Response Message	ELCM Reference Number
Balance available of AED 99994260148	
	Verify Save & Close Close

Field	Description	Sample Values
Plus Icon	Click plus icon to add new Limit Details.	

Limit Details

Click + plus icon to add new limit details.

Below fields are displayed on the Limit Details pop-up screen, if the user clicks plus icon.

Customer ID	Applicant's/Applicant Bank customer ID will get defaulted.	
Linkage Type	Select the linkage type. Linkage type can be: • Facility • Liability By default Linkage Type should be "Facility".	

Field	Description	Sample Values
Contribution%	System will default this to 100%. User can mod- ify, if contribution is more than 100%. System will display an alert message, if modified.	
	Once contribution % is provided, system will default the amount.	
	System to validate that if Limit Contribution% plus Collateral% is equal to 100. If the total percent- age is not equal to 100 application will display an alert message.	
Liability Number	Click Search to search and select the Liability Number from the look-up.	
	The list has all the Liabilities mapped to the customer.	
Contribution Currency	The LC currency will be defaulted in this field.	
Line ID/Linkage Ref No	Click Search to search and select the from the various lines available and mapped under the customer id gets listed in the drop down. LINE ID-DESCRIPTION will be available for selection along with Line ID. When you click on 'verify', the system will return value if the limit check was successful or Limit not Available. If limit check fails, the outstanding limit after the transaction value will be shown in the limit outstanding amount.	
	Note User can also select expired Line ID from the lookup and on clicking the verify button, system should default "The Earmarking cannot be per-	
	formed as the Line ID is Expired" in the "Response Message" field.	
	This field is disabled and read only, if Linkage Type is Liability .	
Limit/ Liability Currency	Limit Currency will be defaulted in this field, when you select the Liability Number	
Limits Description	This field displays the limits description.	
Limit Check Response	Response can be 'Success' or 'Limit not Avail- able' based on the limit service call response.	
Amount to Earmark	Contribution amount will default based on the contribution %.	
	User can change the value.	
Expiry Date	This field displays the date up to which the Line is valid	



Field	Description	Sample Values
Limit Available Amount	This field will display the value of available limit, i.e., limit available without any earmark. The Limit Available Amount must be greater than the Con- tribution Amount.	
	The value in this field appears, if you click the Verify button.	
Response Message	Detailed Response message.	
	The value in this field appears, if you click the Verify button.	
ELCM Reference Number	This field displays the ELCM reference number.	
Below fields appear in the L	imit Details grid along with the above fields.	

Line Serial	Read only field.	
	Displays the serial of the various lines available and mapped under the customer id.	
	This field appears on the Limits grid.	



Collateral Details			
Collateral Details			×
Total Collateral Amount *	Collateral Amount to be	Collected *	
\$67.00		\$0.00	
Sequence Number	Collateral Split % *		
2.0	100.0	~ ^	
Collateral Contrubution Amount *	Settlement Account *		
\$67.00	PK1000327018	Q	
Settlement Account Currency	Exchange Rate		
GBP	1.3	~ ~	
Contribution Amount in Account Currency	Account Available Amou	nt	
£0.00	£99	,999,393,343.91	
Response	Response Message		
VS	The amount block can b	be performed as	
Verify			
	~	Save & Close	× Cancel

Field	Description	Sample Values
Cash Collateral Details	·	
Collateral Percentage	Specify the percentage of collateral to be linked to this transaction.	
Collateral Currency and amount	System populates the contract currency as collat- eral currency by default. User can modify the collateral Currency and amount.	
Exchange Rate	System populates the exchange rate maintained. User can modify the collateral Currency and amount. System validates for the Override Limit and the Stop limit if defaulted exchange rate is modified.	

Click + plus icon to add new collateral details.

Below fields are displayed on the Collateral Details pop-up screen, if the user clicks plus icon.

Total Collateral Amount	Read only field. This field displays the total collateral amount pro-	
	vided by the user.	
Collateral Amount to be Collected	Read only field. This field displays the collateral amount yet to be collected as part of the collateral split.	
Sequence Number	Read only field. The sequence number is auto populated with the value, generated by the system.	



Field	Description	Sample Values
Collateral Split %	Specify the collateral split% to be collected against the selected settlement account.	
Collateral Contribution Amount	Collateral contribution amount will get defaulted in this field.	
	The collateral % maintained for the customer is defaulted into the Collateral Details screen. If col- lateral % is not maintained for the customer, then system should default the collateral % maintained for the product. User can modify the defaulted collateral percentage, in which case system should display a override message "Defaulted Collateral Percentage modified.	
Settlement Account	Select the settlement account for the collateral.	
Settlement Account Cur- rency	Settlement Account Currency will be auto-popu- lated based on the Settlement Account selection.	
Exchange Rate	Read only field.	
	This field displays the exchange rate, if the settle- ment account currency is different from the collat- eral currency.	
Contribution Amount in	Read only field.	
Account Currency	This field displays the contribution amount in the settlement account currency as defaulted by the system.	
Account Available Amount	Read only field.	
	Account available amount will be auto-populated based on the Settlement Account selection.	
Response	Response can be 'Success' or 'Amount not Avail- able'.	
	System populates the response on clicking the Verify button.	
Response Message	Detailed Response message.	
	System populates the response on clicking the Verify button.	
Verify	Click to verify the account balance of the Settle- ment Account.	
Save & Close	Click to save and close the record.	
Cancel	Click to cancel the entry.	

Below fields appear in the **Cash Collateral Details** grid along with the above fields.



Field	Description	Sample Values
Collateral %	User must enter the percentage of collateral to be linked to this transaction. If the value is more than 100% system will display an alert message.	
	System defaults the collateral % maintained for the customer into the Collateral Details screen. If collateral % is not maintained for the customer, then system should default the collateral % main- tained for the product.	
	User can modify the defaulted collateral percent- age, in which case system should display an override message "Defaulted Collateral Percent- age modified".	
Contribution Amount	This field displays the collateral contribution amount.	
	The collateral % maintained for the customer is defaulted into the Collateral Details screen. If col- lateral % is not maintained for the customer, then system should default the collateral % maintained for the product. User can modify the defaulted collateral percentage, in which case system should display a override message "Defaulted Collateral Percentage modified.	
Account Balance Check Response	This field displays the account balance check response.	
Delete Icon	Click minus icon to remove any existing Collateral Details.	
Edit Link	Click edit link to edit any existing Collateral Details.	

Deposit Linkage Details

In this section which the deposit linkage details is captured.

System should allow the user to Link one or more existing Deposits as a contribution to secure underlying transactions. On Submit of DE stage, system will create Linkage of the Deposit/ modification of existing Linkage by calling Back-office system (DDA) system directly.

Deposit Linkage Details		×
Customer Id	Deposit Account	
091215 Q	PK2CDP1221100002	
Deposit Branch		
PK2		
Deposit Available Amount	Deposit Maturity Date	
AED - AED 87,508.00	in the second	
Exchange Rate	Deposit Available In Transaction Currency	
	.	
Linkage Percentage % *	Linkage Amount(Transaction Currency) *	
45.00 🗸 🔨	AED 🔻 AED 450.00	
	Save & Close Close	



3.4.5.2

Field	Description	Sample Values
Click + plus icon to add new	v deposit details.	
Customer Id	Customer ID is defaulted from the system. User can change the customer ID.	
Deposit Account	Click Search to search and select the deposit account from the look-up. All the Deposits of the customer should be listed in the LOV search. User should be able to select the deposit for linkage.	
Deposit Branch	Branch will be auto populated based on the Deposit account selection.	
Deposit Available Amount	Amount will be auto-populated based on the Deposit Account selection.	
Deposit Maturity Date	Maturity Date of deposit is displayed based on the Deposit Account selection.	
Exchange Rate	Latest Exchange Rate for deposit linkage should be displayed. This will be picked up from the exchange rate maintenance from the common core.	
Deposit Available in Transaction Currency	Deposit amount available should be displayed after exchange rate conversion, if applicable.	
Linkage Percentage%	Specify the value for linkage percentage.	
Linkage Amount (Trans- action Currency):	System to default the transaction amount user can change the value.	
	System validates the linking amount with availa- ble Deposit balance and should not allow to link more than the available amount.	
Below fields appear in the L	Deposit Details grid along with the above fields.	1
Deposit Currency	The currency will get defaulted in this field.	
Transaction Currency	The currency will get defaulted in this field from the underlying task.	
Delete Icon	Click minus icon to remove the existing Linked deposit details by selecting the Deposit.	

Edit Link

Click edit link to edit any existing deposit Details.

3.4.5.3 Commission, Charges and Taxes Details

After payment, click on **Default Charges** button to the default commission, charges and tax if any will get populated.

If default charges are available under the product, they should be defaulted here with values. If customer or customer group specific charges are maintained, then the same will be defaulted from Back End system. The system also default the Charges/Commission Party maintained for the customer as per defined Class Maintenance in OBTF. System simulates the Charges, Commission and Tax details from the Back office. At the time of contract initiation against respective commission and charge component, the OBTFPM by default captures the counter parties Account Description as the Charge Party.

The user can view the Account Description of the Charge Party of the respective Settlement Account holder, post successful handoff user can view the same Charge Party details against the commission and charges which were entered at the time of contract initiation in the BO/ MO Enquiry screen of LC in OBTF.

Commission	Details												
ent	BISS												
ent Description		uarantee Issue											
Component	Rate	Mod. Rate	Ссу	Amount	Modified	Defer	Waive	Split	Charge Party		Settl. Acc	nt	Amend
AILSN_COMM	1.5		GBP		£86.96				Air Arabia		0322040		Yes
Page 1 of 1 Charge Deta		к < 1 > я											
Component	Tag curre	ncy Tag Am	ount	Ссу	Amount	Modified	Billi	ng Defe	er Waive	Split	Charge Part	y Set	tl. Accnt
LCCOURISS	GBP	110000)	GBP	£121.00						Applicant	03	322040001
LCHANDLNG	GBP	110000)	GBP	£100.00	£0	.00				Applicant	0:	322040001
LCSWIFTIS	GBP	110000)	GBP	£100.00						Applicant	03	322040001
OTHBNKCHG	GBP	110000)	GBP	£50.00						Applicant	03	322040001
Tax Details	(1-4 of 4 items)		к										
Component		Туре		Value Date	Ccy		A	mount		Billing	Defer	Settl. Accnt	
LCTAX		WITHHOLDING	Y	Aug 3, 2023	AED				AED 23.00			032204000)1
LCTAX1		WITHHOLDING	v	Aug 3, 2023	AED				AED 160.01			032204000	01
Split Settler	ment												
select		Comp	onent			Cur	rency				Amou	nt	
No data to displ	ay.												
age 1 (0 of	f 0 items) K	к (1) > н											
plit Settlem	ent Details												
	Component	Amount Percent	age Bran	hch Account	Currency Account	Exchange Ra	te Ori	iginal Exchar	nge Rate Part	у Туре	Customer	AR-AP Track	king Loan/Finance

Commission Details

Provide the Commission Details based on the description provided in the following table:

Field	Description	Sample Values
Event	Read only field. This field displays the event name.	
Event Description	Read only field. This field displays the description of the event.	



Field	Description	Sample Values

Commission Details

All charges, commission and margin are collected from the counter-party by default.

Component	Select the commission component	
Rate	Defaults from product.	
	The commission rate, if available in Back Office defaults in OBTFPM. The user is able to change the rate.	
	If flat commission is applicable, then commission amount defaulted from back office is modifiable by the user. Rate field will be blank and the user cannot modify the Rate field.	
Modified Rate	From the default value, if the rate or amount is changed, the modified value gets updated in the modified amount field.	
Currency	Defaults the currency in which the commission needs to be collected	
Amount	An amount that is maintained under the product code defaults in this field.	
	The commission rate, if available in Back Office defaults in OBTFPM. The user is able to change the rate, but not the commission amount directly. The amount gets modified based on the rate changed and the new amount is calculated in back office based on the new rate and is popu- lated in OBTFPM.	
	If flat commission is applicable, then commission amount defaulted from back office is modifiable by the user. Rate field will be blank and the user cannot modify the Rate field.	
Modified	From the default value, if the rate or amount is changed, the modified value gets updated in the modified amount field.	
Defer	Select the check box, if charges/commissions has to be deferred and collected at any future step.	
Waive	Select the check box to waive charges/commis- sion.	
	Based on the customer maintenance, the charges/commission can be marked for Billing or Defer.	
	If the defaulted Commission is changed to defer or billing or waive, system must capture the user details and the modification details in the 'Remarks' place holder.	



Field	Description	Sample Values
Split	The user can split the Commission by enabling/ disabling the flag as per the requirement.	
Charge Party	Charge party will be 'Applicant' by Default. You can change the value to Beneficiary	
Settlement Account	Details of the Settlement Account is defaulted. User can change the settlement account.	
Amend	The value is auto-populated as the commission can be amended or not.	



Charge Details

Field	Description	Sample Values
Component	Charge Component type.	
Tag Currency	Defaults the tag currency in which the charges have to be collected.	
Tag Amount	Defaults the tag amount that is maintained under the product code gets defaulted in this field. User can edit the value, if required.	
Currency	Defaults the currency in which the charges have to be collected.	
Amount	An amount that is maintained under the product code gets defaulted in this field. User can edit the value, if required.	
Modified	From the default value, if the rate is changed or the amount is changed, the value gets updated in the modified amount field.	
Billing	If charges are handled by separate billing engine, then by selecting billing the details to be available for billing engine for further processing.	
	On simulation of charges/commission from Back Office, if any of the Charges/Commission compo- nent for the customer is 'Billing' enabled, 'Billing' toggle for that component should be automati- cally checked in OBTFPM.	
	The user can not select/de-select the check box if it is de-selected by default.	
	This field is disabled, if 'Defer' toggle is enabled.	
Defer	If charges have to be deferred and collected at any future step, this check box has to be selected.	
	On simulation of charges/commission from Back Office, if any of the Charges/Commission compo- nent for the customer is AR-AP tracking enabled, 'Defer' toggle for that component should be auto- matically checked in OBTFPM.	
	The user can select/de-select the check box. On de-selection the user has to click on 'Recalculate' charges button for re-simulation.	
Waive	If charges have to be waived, this check box has to be selected.	
	Based on the customer maintenance, the charges should be marked for Billing or for Defer.	
	This field is disabled, if 'Defer' toggle is enabled.	



Field	Description	Sample Values
Split	The bank User can split the Charges/Commission by enabling/disabling the flag as per the require- ment.	
Charge Party	Charge party will be applicant by default. You can change the value to beneficiary	
Settlement Account	Details of the settlement account.	

Tax Details

The tax component is calculated based on the commission and defaults if maintained at product level. User cannot update tax details and any change in tax amount on account of modification of charges/ commission will be available on click of Re-Calculate button or on hand off to back-end system.

Provide the Tax Details based on the information in the following table:

	0	
Field	Description	Sample Values
Component	Tax Component type	
Туре	Type of tax Component.	
Value Date	This field displays the value date of tax component.	
Currency	The tax currency is the same as the commission.	
Amount	The tax amount defaults based on the percent- age of commission maintained. User can edit the tax amount, if required.	
Billing	If taxes are handled by separate billing engine, then by selecting billing the details to be available for billing engine for further processing. This field is disabled, if 'Defer' toggle is enabled.	
Defer	If taxes have to be deferred and collected at any future step, this option has to be enabled.	
	The user can enable/disable the option the check box. On de-selection the user has to click on 'Recalculate' charges button for re-simulation.	
Settlement Account	Details of the settlement account.	



Split Settlement

Once the user clicks on the Recalculate button to fetch the Split Settlement details from Backoffice, new section "Split Settlement" will appear below the 'Tax' section. The default parties in Split row should be fetched from OBTF.

Field	Description	Sample Values
Component	The split component type eligible for Split .	
Currency	The- currency of split settlement.	
Amount	The -amount of split settlement.	

Split Settlement Details

Split Settlement details section appears from Back office, when the user clicks on the Recalculate button.

Split Settlement Details				×
Component		Amount		
CHGTRAMND_LIQD_S01		50		
Customer				
001044	•	\bigcirc		
Account		Account Currency		
PK20010440017	Q	GBP		
Branch		Percentage		
PK2		50.00		
Exchange Rate		Original Exchange Rate		
1		1		
Party Type		Negotiation Reference		
BEN				
AR-AP Tracking		Loan/Finance Account		
\bigcirc		Ν		
Negotiation Rate				
í l		Fetch Exchange Rate	Save & Close	Close

Field	Description	Sample Values
Sequence	The sequence number is auto populated with the value, generated by the system.	
Component	The split component type eligible for Split.	



Field	Description	Sample Values
Amount	The system splits the respective Charge/Com- mission amount automatically between counter party and third party with 50% value by default.	
	The bank user can modify the amount.	
	More than two splits are not allowed.	
Customer	Indicates the ID of the Customer in Split Settle- ment Details section.	
Account	The system defaults the settlement account.	
	User can modify the settlement account. System initiates a call to common core tables within OBT-FPM to select the account	
Account Currency	Defaults the currency of the account.	
Branch	Indicates the branch of the customer where trans- action is getting processed.	
Percentage	The system splits the respective Charge/Com- mission percentage automatically between coun- ter party and third party with 50% value by default.	
	More than two splits are not allowed.	
	The bank user can modify the amount.	
	The system should validate that the total percent- age of each component doesn't exceed 100 and the total amount of each component doesn't exceed total component amount.	
Exchange Rate	System populates the exchange rate maintained.	
Original Exchange Rate	System displays the Original Exchange Rate as simulated in split settlement details section.	
Party Type	System displays the party type in split settlement details section.	
Negotiation Reference	Specify the negotiation reference number.	
AR-AP Tracking	Indicates to defer the charge/ commission in Split Settlement Details section.	
	The user can modify the AR-AP Tracking flag as per the requirements.	
Loan/Finance Account	Displays the loan account.	
Negotiation Rate	Specify the negotiation rate.	



3.4.5.4 Insurance Details

Insurance Details				×
▲ Insurance Company Details Code Q	Company Name	Company Address		
Policy Number Q	Open Policy Utilized Amount	Cover Date	Expiry Date	
				Save & Close Cancel

Provide the Insurance details based on the description in the following table:

Field	Description	Sample Values
Company Details		
Company Code	Select the Company Code from the LOV.	
Company Name	Read only field. Insurance company details will be displayed as per the selected Company Code from the LOV.	
Company Address	Read only field. Insurance company address will be displayed as per the selected Insurance Company Code from the LOV.	
Policy Number	Provide the policy number.	
Open Policy	If enabled, this field denotes whether the policy is an open policy.	
Cover Date	Specify the cover date of the policy.	
Expiry Date	Specify the Expiry Date of the Policy. System displays an override if the policy is expired.	
Insurance Amount	Specify the insurance amount for the policy.	
Utilized Amount	This field displays the utilized amount, if the pol- icy is an Open Policy.	

3.4.5.5 FX Linkage

This section enables the user to link the existing FX contract(s) to the LC transactions. User can link multiple forward FX contracts.

• FX contract linkage with the Bill booking can happen only for immediate liquidation of sight payment or for Usance. For manual sight payment, the user needs to link the FX contract on the date of liquidation of the bill.



System should default Counter party for FX linkage. However option to be provided to user to select contracts of Accountee, if Accountee is other than counter party.

FxLinkage								×
⊿ FX Linkage								
FX Reference Number	Bought Currency	SOLD Currency	Available Contract Amount	Rate	Linked Amount	Total Utilized Amount	FX Expiry Date	+ Action
032FXF2230890501	GBP	AED	AED 149,873,698.50	1.5	AED 27,000.00	AED 2,100.00	Dec 30, 2025	
Page 1 of 1 (1 of 1 i	items) K < 1	к <						
Average FX Rate								
0								
								Save & Close Cancel

FX Reference Number *	Currency
032FXF2230890501 Q	AED
Contract Amount	Available FX Contract Amount
AED + AED 149,999,998.50	AED 💌 AED 149,873,698.50
Linkage Amount *	Rate
AED 💌 AED 27,000.00	1.5 🗸 🔨
FX Amount in Local Currency	FX Expiry Date
GBP 💌 £149,999,998.50	Dec 30, 2025
FX Delivery Period From	FX Delivery Period To
<u></u>	<u> </u>

Provide the FX linkage detail based on the description in the following table:

Field	Description	Sample Values

Click + plus icon to add new FX linkage details.

Below fields are displayed on the FX linkage pop-up screen, if the user clicks plus icon.



Field	Description	Sample Values
FX Reference Number	Select the FX contract reference number from the LOV.	
	On select and save and close, system defaults the available amount, bot currency, sold currency and rate.	
	Forward FX Linkage available for selection at bill would be as follows,	
	 Counterparty of the FX contract should be the counterparty of the Bill contract. 	
	 Active Forward FX transactions authorized not marked for auto liquidation. 	
	Bill contract currency should be BOT currency of the FX transaction in case of an export Bill or the SOLD currency in case of an Import Bill.	
Currency	This field displays the FX SOLD currency from the linked FX contract.	
Contract Amount	This field displays the FX SOLD currency and Amount.	
	The user can change the currency.	
Available FX Contract Amount	This field displays the available FX contract amount.	
	The value is from the "Available Amount" in FXDLINKG screen in OBTR.	
	Available Amount SOLD currency and Amount is displayed.	
Linkage Amount	This field displays the amount available for link- age.	
	The Linkage amount should default the LC Con- tract Currency and allowed to change the linkage amount alone.	
	The validation "Sum of Linked amount will not be greater than contract amount" or "Linkage amount will not be greater than the available amount for linkage" should be triggered on save of the FX linkage screen when trying to link the single FX or multiple FX.	
Rate	This field displays the exchange rate defaulted from the linked FX Contract.	
FX Amount in Local Cur- rency	This field displays the FX amount in local cur- rency.	
	The value is defaulted as FX BOT currency and Amount from FXDTRONL	

Field	Description	Sample Values
FX Expiry Date	This field displays the expiry date from the linked FX contract.	
FX Delivery Period - From	This field displays the date from which the con- tract is valid for utilization.	
FX Delivery Period - To	This field displays the date to which the contract is valid for utilization.	
Below fields appear in the F	X linkage grid along with the above fields.	L
Bought Currency	This field displays the currency from the linked FX contract.	
Sold Currency	This field displays the currency from the linked FX contract.	
Available Contract Amount	Available amount will be FX contract amount minus the linked amount. Available amount for linkage should be greater than Zero.	
Linked Amount	Sum of Linked amount will not be greater than LC contract amount.	
	Linked amount will not be greater than the available amount for linkage.	
Total Utilized amount	This field displays the total amount utilized against the corresponding linked FX. On query, both Utilized and Total Utilized amount holds the amount of latest version.	
	The value is Total Utilized Amount SOLD cur- rency and Amount for Import LC/Guarantee Issu- ance from FXDLINKG	
Average FX Rate	Multiple forward FX contract could be linked, and exchange rate of FX contract vary from each. Hence, effective exchange rate for bill would be arrived using weighted average method and it is utilized during purchase/negotiation/discount or liquidation of the bill. This will be populated in the Average FX Rate.	
Action	Click the Edit icon to modify the FX details.	
	Click the Delete icon to delete the FX details.	

3.4.6 Preview Message

The bank user can view a preview of the outgoing SWIFT message and advise simulated from back office.



Based on the LC cancellation captured in the previous screen, the preview message simulated from the back office and the user can view the message.

Preview - SWIFT	Message			Preview - Mail A	dvice		
anguage	5	Message Type		Language		Advice Type	
English	~		v	English	w.	DEBIT_ADVICE	w.
lessage Status		Repair Reason		Message Status		Repair Reason	
				GENERATED			
eview Message				Preview Message			
				DEBIT ADVICE/TAX INV	OICE		
				TRANS TIME:	G-23 2764800003	PAGE : 1	
				Air Arabia Air Arabia gopinath.subramanian	@oracle.com;CC;sha	hul.ha.hameed@oracle.com	
					Debit Advi		

Field	Description	Sample Values
Preview SWIFT Message		
Language	Read only field.	
	English is set as default language for the preview.	
Message Type	Select the message type.	
Message Status	Read only field. This field displays the message status of draft message of cancellation details.	
Repair Reason	Read only field. This field displays the message repair reason of draft message of cancellation details.	

Preview Mail Device		
Language	Read only field.	
	English is set as default language for the preview.	
Advice Type	Select the advice type.	
Message Status	Read only field.	
	This field displays the message status of draft message of cancellation details.	
Repair Reason	Read only field.	
	This field displays the message repair reason of draft message of cancellation details.	

Display a preview of the draft message.

Preview Message



Field	Description	Sample Values
Preview Message	Display a preview of the draft message.	

3.4.6.1 Action Buttons

Field	Description	Sample Values
Clarification Details	Clicking the button opens a detailed screen, user can see the clarification details in the window and the status will be Clarification Requested.	
Documents	Click the Documents button to View/Upload the required documents.	
	Application will display the mandatory and optional documents.	
	The user can view and input/view application details simultaneously.	
	When a user clicks on the uploaded document, Document window get opened and on clicking the view icon of the uploaded document, Application screen should get split into two. The one side of the document allows to view and on the other side allows to input/view the details in the applica- tion.	
Remarks	Click the Remarks button to provide any addi- tional information. This information can be viewed by other users processing the request.	
	Content from Remarks field should be handed off to Remarks field in Backend application.	
Overrides	Click to view overrides, if any.	
Customer Instructions	Click to view/ input the following	
	 Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this. 	
	• Transaction Level Instructions – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions.	
Request Clarification	User should be able to specify the clarification details for requests received online.	



Field	Description	Sample Values
Incoming Message	This button displays the multiple messages.	
	In case of MT798, the User can click and view the MT798 message(770,700/701).	
	In case of MT798-MT726-MT759 request, user can view MT798 message(726-759) in this place-holder in Header of the task.	
	In case of MT798_MT788-MT799 request, user can view MT798 message (788-799) in this placeholder in Header of the process-task.	
Signatures	Click the Signature button to verify the signature of the customer/ bank if required.	
	The user can view the Customer Number and Name of the signatory, Signature image and the applicable operation instructions if any available in the back-office system.	
	If more than one signature is required, system should display all the signatures.	
Request Clarification	User should be able to submit the request for clarification to the "Trade Finance Portal" User for the transactions initiated offline.	
Refer	User must select a Refer Reason from the values displayed by the system.	
	Refer Codes:	
	 R1- Documents missing 	
	R2- Signature Missing	
	R3- Input Error	
	R4- Insufficient Balance/Limits	
	R5 - Others.	
Save & Close	Save the information provided and holds the task in you queue for working later.	
	This option will not submit the request.	
Cancel	Cancel the LC Issuance Scrutiny stage inputs and the task gets landed in My Task menu.	
Hold	The details provided will be on hold.	
	This option is used, if there are any pending infor- mation yet to be received from applicant and appropriate remarks must be provided.	



Field	Description	Sample Values
Reject	On click of Reject, user must select a Reject Rea- son from a list displayed by the system.	
	Reject Codes:	
	 R1- Documents missing 	
	R2- Signature Missing	
	R3- Input Error	
	 R4- Insufficient Balance/Limits 	
	• R5 - Others.	
	Select a Reject code and give a Reject Descrip- tion.	
	This reject reason will be available in the remarks window throughout the process. On reject, a reject notification gets generated to the applicant.	
Next	On click of Next, system should validate if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.	

3.4.7 <u>Summary</u>

User can review the summary of details updated in Scrutiny Import LC Issuance request.

Log in to Oracle Banking Trade Finance Process Management (OBTFPM) system to see the summary tiles. The tiles must display a list of important fields with values. User can drill down from summary Tiles into respective data segments.

Main	Summary				Screen (6 / 6
Availability	Main	Availability	Payment	Additional Fields	
Payment Additional Fields	Form of LC : IRREVOCABLE	Available With :	Period of Present. :	Click here to view :	
Additional Details	Submission Mode : Desk Date of Issue : 2021-05-05	Available By : Port of Loading :	Confirmation Instr. : CONFIRM	Additional fields	
	Date 0115502	Port of Discharge :			
Summary					
	Revolving Details	Limits and Collaterals	Commission, Charges and Taxes	Insurance Details	
	Revolving : NO	Limit Currency : EUR	Charge :	Company :	
	Revolving In : Revolving Frequency :	Limit Contribution : 2200 Limit Status : Not Verified	Commission : Tax :	Insured Amount : Expiry Date	
	Revolving Frequency :	Collateral Currency : GBP	Tax : Block Status : Not Initia	Expiry Date	
	1	Collateral Contr. : 176			
		Collateral Status : Not Verified			
	FX Linkage				
	Reference Number :				
	Contract Amount :				
	Contract Currency :				

Tiles Displayed in Summary

- Main Details User can view and modify details about application details and LC details, if required.
- Party Details User can view and modify party details like beneficiary, advising bank etc., if required.

- Availability User can view and modify availability and shipment details, if required.
- Payment User can view and modify all details related to payments, if required.
- Additional Fields User can view and modify the details of additional fields, if required.
- Revolving Details User can view and modify revolving details on revolving LC, if applicable.
- Limits and Collaterals User can view and modify limits and collateral details, if required.
- Commission and Charges and Taxes- User can view and modify commission, charge and tax details, if required.
- Insurance Details User can view the insurance details.
- FX Linkage Details User can view the FX Linkage details.

3.4.7.1 Action Buttons

Field	Description	Sample Values
Clarification Details	Clicking the button opens a detailed screen, user can see the clarification details in the window and the status will be Clarification Requested.	
Documents	Click the Documents button to View/Upload the required documents.	
	Application will display the mandatory and optional documents.	
	The user can view and input/view application details simultaneously.	
	When a user clicks on the uploaded document, Document window get opened and on clicking the view icon of the uploaded document, Application screen should get split into two. The one side of the document allows to view and on the other side allows to input/view the details in the applica- tion.	
Remarks	Click the Remarks button to provide any addi- tional information. This information can be viewed by other users processing the request.	
	Content from Remarks field should be handed off to Remarks field in Backend application.	
Overrides	Click to view overrides, if any.	



Field	Description	Sample Values
Customer Instructions	Click to view/ input the following	
	 Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this. 	
	 Transaction Level Instructions – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions. 	
Request Clarification	User should be able to specify the clarification details for requests received online.	
Incoming Message	This button displays the multiple messages.	
	In case of MT798, the User can click and view the MT798 message(770,700/701).	
	In case of MT798-MT726-MT759 request, user can view MT798 message(726-759) in this place-holder in Header of the task.	
	In case of MT798_MT788-MT799 request, user can view MT798 message (788-799) in this placeholder in Header of the process-task.	
Signatures	Click the Signature button to verify the signature of the customer/ bank if required.	
	The user can view the Customer Number and Name of the signatory, Signature image and the applicable operation instructions if any available in the back-office system.	
	If more than one signature is required, system should display all the signatures.	
Request Clarification	User should be able to submit the request for clarification to the "Trade Finance Portal" User for the transactions initiated offline.	
Refer	User must select a Refer Reason from the values displayed by the system.	
	Refer Codes:	
	R1- Documents missing	
	R2- Signature Missing	
	R3- Input Error	
	 R4- Insufficient Balance/Limits R5 - Others. 	
	* 110 - Ouleia.	



Field	Description	Sample Values
Save & Close	Save the information provided and holds the task in you queue for working later. This option will not submit the request.	
Cancel	Cancel the LC Issuance Scrutiny stage inputs and the task gets landed in My Task menu.	
Hold	The details provided will be on hold. This option is used, if there are any pending infor- mation yet to be received from applicant and appropriate remarks must be provided.	
Reject	 On click of Reject, user must select a Reject Reason from a list displayed by the system. Reject Codes: R1- Documents missing R2- Signature Missing R3- Input Error R4- Insufficient Balance/Limits R5 - Others. Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process. On reject, a reject notification gets generated to the applicant. 	
Submit	Task will get moved to next logical stage of Import LC Issuance. If mandatory fields have not been captured, sys- tem will display an error message until the man- datory fields data are provided.	

3.5 Data Enrichment

As part of Data Enrichment, user can enter/update basic details of the incoming request.

Note

For expired line of limits, the task moves to "Limit Exception" stage under Free Tasks, on 'Submit' of DE Stage with the reason for exception as "Limit Expired".

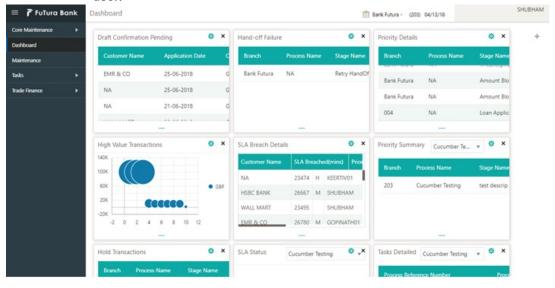
Do the following steps to acquire a task at Data Enrichment stage:



1. Using the entitled login credentials for Scrutiny stage, login to the OBTFPM application.

🏲 FuTura Bank
Sign In
User Name *
SRIDHAR
Password *
Sign In

2. On login, user must be able to view the dashboard screen with widgets as mapped to the user.



3. Click Trade Finance> Tasks> Free Tasks.

ore Maintenance		C Refresh	◆ Acau	ire 🙏 Delegate	 Reassi 	gn 🕴 Flow Diagram				
ashboard										
faintenance >		Action	Priority	Application Number	Branch	Customer Number	Amount	Process Name	Stage	Back Office Ref No.
		Acquire & Edit	М	GS11LC1000006057	GS1	000263	£15,	100.00 Import LC Issuance	Data Enrichment	GS1ILSN19032BLVF
ecurity Management 🛛 🕨		Acquire & Edit	Н	GS1ELCA000006056	GS1	000263	£99,	199.19 Export LC Advising	Scrutiny	GS1ELAC19032BL3R
isks 🔻		Acquire & Edit	н	GS1ELCA000006055	GS1	000263	£99,	99.19 Export LC Advising	Scrutiny	GS1ELAC19032BL3O
		Acquire & Edit	н	GS1ELCA000006053	GS1	000263	£99,	99.19 Export LC Advising	Scrutiny	GS1ELAC19032BL3N
Free Tasks		Acquire & Edit	н	GS1ELCA000006052	GS1	000263		£9.01 Export LC Advising	Scrutiny	GS1ELAC19032BL3M
Hold Tasks		Acquire & Edit	Н	GS1ELCA000006050	GS1	000263	£99,	199.19 Export LC Advising	Scrutiny	GS1ELAC19032BL3L
My Tasks	Pac	qe 1 of 1 (1-10	0 of 10 item	s) K < 1 >	к					
Search			(
Supervisor Tasks		revious 1 - 10	of 2588 rec	ords Next						



4. Select the appropriate task and click **Acquire & Edit** to edit the task or click **Acquire** to edit the task from **My Tasks** tab.

😑 🍞 FuTura Ban	k Fr	ee Tasks						fbn u	JK (GS1) 👘 Feb 1, 2019	SRIDHAF subham@gmail.c
Core Maintenance	•	C Refresh	- Acqu	ire 🔥 Delegate	 Reassic 	gn 🕴 Flow Diagram				
Dashboard										
Maintenance		Action	Priority	Application Number	Branch	Customer Number	Amount	Process Name	Stage	Back Office Ref No.
aintenance	r (Acquire & Edit	М	GS1ILCI000006057	GS1	000263	£15,000.00	Import LC Issuance	Data Enrichment	GS1ILSN19032BLVF
ecurity Management	▶ (Acquire & Edit	н	GS1ELCA000006056	GS1	000263	£99,999.19	Export LC Advising	Scrutiny	GS1ELAC19032BL3R
isks	•	Acquire & Edit	н	GS1ELCA000006055	GS1	000263	£99,999.19	Export LC Advising	Scrutiny	GS1ELAC19032BL3O
	(Acquire & Edit	н	GS1ELCA000006053	GS1	000263	£99,999.19	Export LC Advising	Scrutiny	GS1ELAC19032BL3N
Free Tasks	(Acquire & Edit	н	GS1ELCA000006052	GS1	000263	£9.01	Export LC Advising	Scrutiny	GS1ELAC19032BL3M
Hold Tasks	6	Acquire & Edit	Н	GS1ELCA000006050	GS1	000263	£99,999.19	Export LC Advising	Scrutiny	GS1ELAC19032BL3L
My Tasks	F	Page 1 of 1 (1-10) of 10 item	s) K < 1 >	К					
Search										
Supervisor Tasks		Previous 1 - 10	of 2588 rec	ords Next						
rade Finance	•									

5. The acquired task will be available in **My Tasks** tab. Click **Edit** to provide input for Data Enrichment stage.

😑 🍞 FuTura Bank	My Tasks	🏦 FBN UK (GS1) 🗮 Feb 1, 2019	SRIDHAR01 subham@gmail.com
Core Maintenance	C Refresh 🗇 Release 🕴 Flow Diagram		
Dashboard			
Maintenance 🕨	Action Priority Application Number Branch Customer Number Amount Process Name Edit M GS11LCI000006057 GS1 000263 £15,000.00 Import LC issuance	Stage Data Enrichment	Back Office Ref No. GS1ILSN19032BLVF
Security Management			
Tasks v			
Free Tasks			
Hold Tasks			
My Tasks	Page 1 of 1 (1 of 1 items) K < 1 > X		
Search			
Supervisor Tasks	Previous 1-1 of 1 records Next		
Trade Finance			

The Data Enrichment stage has six sections as follows:

- Main Details
- Availability
- Payment
- Documents & Conditions
- Additional Fields
- Additional Details
- Settlement Details
- Summary

Let's look at the details for Data Enrichment stage. User must be able to enter/update the following fields. Some of the fields that are already having value from Scrutiny/Online channels may not be editable.

3.5.1 Main Details

Refer to 3.4.1 Main Details.

3.5.2 Availability

Refer to 3.4.2 Availability.

3.5.3 Payment

Refer to 3.4.3 Payment.

3.5.4 Documents & Conditions

User must provide the required documents and additional conditions (if applicable) in this section.

mport LC Issuance DataEnrichment :: Appli	cation No:- 091ILCI000	001646	Clarification De	tails Document	s Remarks	Overrides Cust	omer Instruction	Incoming Message	Signatures	× :
) Main) Availability) Payment	Documents and Document D									Screen (4 /
Documents and Conditions Additional Fields	Code	Document Description	c	ору	Original	Clause Details	Original Doc.Rec	uired Actic	n	
Advices	CLAIMDOC	Claim Doc	ß	2	1	CLAIMDOC			/ t	1
Additional Details Settlement Details	CLAIMDOC2	DOCUMENT to	ß			CLAIMDOC2			1 t	1
Summary	Additional C	onditions								
	FFT Code		FFT	Description					Action	
	77BACPREFUSL		<0	CLOB>					// ť	1
Audit					Request Clarificat	ion Reject	Refer Hold	Cancel Save	e & Close B	lack

3.5.4.1 Documents Details

Based on the 'Product' selected, Application will default the documents required under the LC. User can edit the details, delete an existing document and also add additional documents to the defaulted list.

Note

Insurance document is available in Documents Details section, if value in the 'INCO Term' field is not 'CFR','FOB', 'FCA', 'FAS' or 'EXW' in the **Availability** stage. If not system gives the warning message.

Online Channel - System will default the details received in the Description column. Based on the details populated, user can pick corresponding values for document code, originals and copy.

Non Online Channel - User can further edit (add or remove) the documents or document description as per requirement. Application will display an alert message, if both Bill Of lading" and 'Airway Bill' are chosen.

Field	Description	Sample Values
Code	Click Search icon to search and select the docu- ment code based on the document received.	
	User can add or delete the code by deleting the line on the grid.	

Field	Description	Sample Values
Document Description	System displays the document description based on the document code selection. User can edit the description.	
Сору	Specify the number copies received from the Drawer. User can edit the actual copies received.	
Original	Specify the number of original documents received from the Drawer. User can edit the actual originals received.	
Clause Details	Displays the description of the clause required as per LC.	
Original Doc. Required	System displays whether original document is required or not.	
	The user can enable the option, if original docu- ment is required.	
Action	Click Edit icon to edit the document details.	
	Click Delete icon to delete the document details.	

3.5.4.2 Additional Conditions

Online Channel - System will default the details received in the description column. System will parse the additional conditions required field into multiple line items based on line delimitter (+) and shall populate each line item as a separate description. User can read the description and make any changes required to the description, also must be able to add more conditions.

Non Online Channel - User can use FFT to capture additional conditions and can edit the description populated from FFT. You should also be able to add additional FFT.

Field	Description	Sample Values
FFT Code	This field displays the FFT code as per the latest LC.	
FFT Description	This field displays the description of the FFT code as per the latest LC.	
Action	Click Edit icon to edit the additional conditions details.	
	Click Delete icon to delete the additional condi- tions details.	

3.5.4.3 Action Buttons

Field	Description	Sample Values
Clarification Details	Clicking the button opens a detailed screen, user can see the clarification details in the window and the status will be Clarification Requested.	
Documents	Click the Documents button to View/Upload the required documents.	
	Application will display the mandatory and optional documents.	
	The user can view and input/view application details simultaneously.	
	When a user clicks on the uploaded document, Document window get opened and on clicking the view icon of the uploaded document, Application screen should get split into two. The one side of the document allows to view and on the other side allows to input/view the details in the applica- tion.	
Remarks	Click the Remarks button to provide any addi- tional information. This information can be viewed by other users processing the request.	
	Content from Remarks field should be handed off to Remarks field in Backend application.	
Overrides	Click to view overrides, if any.	
Customer Instructions	Click to view/ input the following	
	 Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this. 	
	 Transaction Level Instructions – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions. 	
Request Clarification	User should be able to specify the clarification details for requests received online.	

Field	Description	Sample Values
Incoming Message	This button displays the multiple messages.	
	In case of MT798, the User can click and view the MT798 message(770,700/701).	
	In case of MT798-MT726-MT759 request, user can view MT798 message(726-759) in this place-holder in Header of the task.	
	In case of MT798_MT788-MT799 request, user can view MT798 message (788-799) in this placeholder in Header of the process-task.	
Signatures	Click the Signature button to verify the signature of the customer/ bank if required.	
	The user can view the Customer Number and Name of the signatory, Signature image and the applicable operation instructions if any available in the back-office system.	
	If more than one signature is required, system should display all the signatures.	
Request Clarification	User should be able to submit the request for clarification to the "Trade Finance Portal" User for the transactions initiated offline.	
Refer	User must select a Refer Reason from the values displayed by the system.	
	Refer Codes:	
	R1- Documents missing	
	R2- Signature Missing	
	R3- Input Error	
	 R4- Insufficient Balance/Limits R5 - Others. 	
Save & Close	Save the information provided and holds the task in you queue for working later. This option will not submit the request.	
Cancel	Cancel the LC Issuance Scrutiny stage inputs and the task gets landed in My Task menu.	
Hold	The details provided will be on hold.	
	This option is used, if there are any pending infor- mation yet to be received from applicant and appropriate remarks must be provided.	

Field	Description	Sample Values
Reject	On click of Reject, user must select a Reject Reason from a list displayed by the system.	
	Reject Codes:	
	R1- Documents missing	
	R2- Signature Missing	
	R3- Input Error	
	 R4- Insufficient Balance/Limits 	
	• R5 - Others.	
	Select a Reject code and give a Reject Descrip- tion.	
	This reject reason will be available in the remarks window throughout the process. On reject, a reject notification gets generated to the applicant.	
Submit	Task will get moved to next logical stage of Import LC Issuance.	
	If mandatory fields have not been captured, sys- tem will display an error message until the man- datory fields data are provided.	

3.5.5 Additional Fields

Refer to 3.4.4 Additional Fields.

3.5.6 Advices

Advices menu displays the advices available under a product code from the back office as tiles. User can edit the fields in the tile, if required.

	v Tasks		FLEXCUBE UNIVERSAL BAN Jan 1, 2014	SRIDHAR01 subham@gmail.com
Import LC Issuance - Da	taEnrichment :: Application No: 300ILCI000	35573		s 🗖 Remarks 💉 🗙
D Main	Advices			Screen (6 / 9)
Availability	Advice : PAYMENT_MESSAGE			
Payment				
Documents and Conditions	Advice Name : PAYMENT_MESSAGE Advice Party :			
Additional Fields	Party Name : Suppress : N Advice			
Advices	Autoc			
Additional Details				
Summary				
ſ				
Audit			Reject Refer Hold Cancel Save &	Close Back Next

The user can also suppress the Advice, if required.

3.5.6.1 Advice Details

Advice Details					×
Advice Details Suppress Advice	Advice Name		Medium	Adv	ice Party
\bigcirc	LC_INSTRUMENT		SWIFT	▼ ABI	K
Party ID	Party Name				
220008	CITI BANK NA				
 Instructions Instruction Code 		Instruction Descrip	tion	Edit	+ Action
E112		. PLEASE COLLECT	OUR ADVISING CHARGES FF	-	
					OK Carcel
					OK Cancel

The field description for screen is described below:

Field	Description	Sample Values
Suppress Advice	Toggle on : Switch on the toggle if advice is suppressed.	
	Toggle off : Switch off the toggle if suppress advice is not required for the amendments	
Advice Name	This field displays the advice name defaulted from LC issuance.	
Medium	The medium of advices is defaulted from the system. User can update if required.	
Advice Party	Value be defaulted from LC Issuance.	
Party ID	Value be defaulted from LC Issuance.	
Party Name	Read only field. Value be defaulted from LC Issuance.	
Instruction Details		
Instruction Code	User can select the instruction code as a part of free text.	
Instruction Description	Instruction description is populated based on the Instruction code selected.	
+	Click plus icon to add new instruction code.	



Field	Description	Sample Values
Action	Click Edit icon to edit the instruction details.	
	Click Delete icon to delete the instruction details.	

3.5.6.2 Action Buttons

Field	Description	Sample Values
Clarification Details	Clicking the button opens a detailed screen, user can see the clarification details in the window and the status will be Clarification Requested.	
Documents	Click the Documents button to View/Upload the required documents.	
	Application will display the mandatory and optional documents.	
	The user can view and input/view application details simultaneously.	
	When a user clicks on the uploaded document, Document window get opened and on clicking the view icon of the uploaded document, Application screen should get split into two. The one side of the document allows to view and on the other side allows to input/view the details in the applica- tion.	
Remarks	Click the Remarks button to provide any addi- tional information. This information can be viewed by other users processing the request.	
	Content from Remarks field should be handed off to Remarks field in Backend application.	
Overrides	Click to view overrides, if any.	
Customer Instructions	Click to view/ input the following	
	 Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this. 	
	• Transaction Level Instructions – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions.	
Request Clarification	User should be able to specify the clarification details for requests received online.	

Field	Description	Sample Values
Incoming Message	This button displays the multiple messages.	
	In case of MT798, the User can click and view the MT798 message(770,700/701).	
	In case of MT798-MT726-MT759 request, user can view MT798 message(726-759) in this place-holder in Header of the task.	
	In case of MT798_MT788-MT799 request, user can view MT798 message (788-799) in this placeholder in Header of the process-task.	
Signatures	Click the Signature button to verify the signature of the customer/ bank if required.	
	The user can view the Customer Number and Name of the signatory, Signature image and the applicable operation instructions if any available in the back-office system.	
	If more than one signature is required, system should display all the signatures.	
Request Clarification	User should be able to submit the request for clarification to the "Trade Finance Portal" User for the transactions initiated offline.	
Refer	User must select a Refer Reason from the values displayed by the system.	
	Refer Codes:	
	 R1- Documents missing 	
	R2- Signature Missing	
	• R3- Input Error	
	 R4- Insufficient Balance/Limits R5 - Others. 	
Save & Close	Save the information provided and holds the task in you queue for working later. This option will not submit the request.	
Cancel	Cancel the LC Issuance Scrutiny stage inputs and the task gets landed in My Task menu.	
Hold	The details provided will be on hold. This option is used, if there are any pending infor- mation yet to be received from applicant and appropriate remarks must be provided.	



Field	Description	Sample Values
Reject	On click of Reject, user must select a Reject Reason from a list displayed by the system.	
	Reject Codes:	
	 R1- Documents missing 	
	R2- Signature Missing	
	R3- Input Error	
	 R4- Insufficient Balance/Limits 	
	• R5 - Others.	
	Select a Reject code and give a Reject Descrip- tion.	
	This reject reason will be available in the remarks window throughout the process. On reject, a reject notification gets generated to the applicant.	
Next	Task will get moved to next data segment of Import LC Issuance.	
	If mandatory fields have not been captured, sys- tem will display an error message until the man- datory fields data are provided.	

3.5.7 Additional Details

= ORACLE				1	(DEFAULTENTITY) Oracle Banking Trade Fit May 24, 2021	nan OBTFPM05 subham@gmail.com
Import LC Issuance DataEnrichment :: Applicat	tion No:- PK2ILCI000025608	Clarification Details Documents	Remarks	Overrides Customer Instruction Con	mmon Group Messages Incoming Message	Signatures
Main	Additional Details					Screen (7 / 9)
Availability	Limits and Collaterals	Revolving Details	:	Commission, Charges an	Preview Messages	
Payment	Contribution Currency :	Revolving : Yes		Charge :	Language :	
Documents and Conditions	Contribution Amount : Limit Status	Revolving In : Time Revolving Frequency : Days		Commission : Tax	Preview Advice :-	
Additional Fields	Collateral Currency : GBP Collateral Contributi: : 115	nevolving requercy . Days		Block Status : Not Initiated		
Advices	Collateral Contributi : 115 Collateral Status : Not Verified					
 Additional Details 						
Settlement Details	Create Template	Insurance Details	:	FX Linkage	Tracer Details	
Summary	Template Code :-	Company : Insured Amount : Expiry Date :		FX Reference Number : Contract Currency : Contract Amount :	Tracer Code : ACK, TRACER Required : No Medium : Frequency :	
Audit				Request Chriftation	Reject Refer Hold Cancel	Sire & Coce Back Net

3.5.7.1 Limits & Collateral

Refer to 3.4.5.1 Limits & Collateral.

3.5.7.2 Commission, Charges and Taxes

Refer to 3.4.5.3 Commission, Charges and Taxes Details3.4.5.2 .

3.5.7.3 FX Linkage

Refer to 3.4.5.5 FX Linkage.

3.5.7.4 Preview

User can preview the draft SWIFT message based on message type and the draft mail advice based on the advice type.

The OBTFPM user can send the draft of the message to the registered email id of the corporate customer as an attachment containing PDF. The PDF sent to the corporate customer is protected by a password. Password to be generated with first four digits of Customer Name and last four digits of Customer Number.

Note

A bank user can share the Draft SWIFT message to the customer through email, before the actual transmission of SWIFT message to the Advising Bank.

Preview Messages						×
▲ Preview - SWIFT Mes	ssage			▲ Preview - Mail Advice		
Language		Message Type		Language	Advice Type	
English	v	700	•	English	▼ DEBIT_ADVICE	T
Message Status		Repair Reason		Message Status	Repair Reason	
GENERATED				REPAIRED		
Preview Message				Preview Message		
	T	ad Tanaadaadaa		Treview message		
Original Received from Ap Priority/Delivery Swift Input Sender Swift address Receiver Swift address	pplication - Out : Normal Message : FIN 700 Issue : AAEMNL21XXX	going Draft Header e of a Documentary Credit MANAGEMENT B.V. 6 111 ERDAM St Office1 02				
Draft Confirmation						
Draft Confirmation Required		Customer Response				
\bigcirc						
Customer Remarks		Response Date				
			<u></u>			
Customer Email ID 1 *		Customer Email ID 2				I
	Q,		Q,			
						Save & Close Cancel

Field	Description	Sample Values
Preview - SWIFT Message		
Language	Read only field. English is set as default language for the preview.	
Message type	Select the message type from the drop down. User can choose to see preview of different mes- sage like MT 700, MT 740 and MT 701.	



Field	Description	Sample Values
Message Status	Read only field.	
	Display the message status of draft message of liquidation details.	
Repair Reason	Read only field.	
	Display the message repair reason of draft mes- sage of liquidation details.	
Preview Message	Display a preview of the draft message.	
Preview - Mail Device		I
Language	Read only field.	
	English is set as default language for the preview.	
Advice Type	Select the advice type.	
Message Status	Read only field.	
	Display the message status of draft message of liquidation details.	
Repair Reason	Read only field.	
	Display the message repair reason of draft mes- sage of liquidation details.	
Preview Message	Display a preview of the advice.	
Draft Confirmation		
Draft Confirmation Required	This toggle enables the user to select if draft con- firmation is required or not	
Following fields will have	values on receipt of customer response.	
Customer Response	User can enter the response received from cus- tomer. If the response is received online, the response is auto populated in this field by the system	
Customer Remarks	Remarks from the customer for the draft	
Response Date	Customer Response received date.	
Customer Email ID 1	Default email address of the customer.	
	System fetches the Email ID from Customer Address maintenance in Back office and auto populates the available Email ID.	
Customer Email ID 2	By default this field is blank.	
	User can search and select the Email ID from lookup from the Customer Email Address field of the customer maintenance in Back Office and replicated in OBTFPM.	

3.5.7.5 Create Template

This option allows user to create a new template with the already captured details and the data can be reused with the template to reduce the effort. The user can enter the template name and create the template, details pertaining to the subsequent screens in scrutiny and data enrichment screens will be persisted and populated.

Create Template						
Template Name	Create					
LC Details						
Applicant		50 - Applicant Name		Branch Code	LC Type	
				091	Sight	
Product Code		Product Description		Advising Bank	40A - Form of Documentary Cree	lit
ILN2		Import LC Sight Non Revolving		CITI BANK NA2	IRREVOCABLE	
40E - Applicable Rules		31D - Place of Expiry		51A - Applicant Bank	59 - Beneficiary Name	
EUCPURR LATEST VERSION		london			Acon Consulting Ltd	
32A - Percentage Credit Amoun	t Tolerance	39C - Additional Amount Covered				
/						
Availability Details						
41a-Available with		41a-Available By		42C-Drafts At	42a-Drawee	
	Q	BY PAYMENT	v		Q	D

3.5.7.6 Tracer Details

The bank user can capture these tracer details for LC Issuance.

1 Tracer Details											
Tracer Code	Description	Party Type	Required	Maximum Tracers	Number Sent	Start Days	Last Sent On	Medium	Frequency	Template Id	Action
ACK_TRACER	ACK_TRACER	ISB					έΩ.	v			ß
CHG_COM_TRAC	CHG_COM_TRAC						m	Υ.			ß
CON_TRACER	CON_TRACER							v			Ľ

Save & Close Cancel

Provide the tracer details based on the description in the following table:

Field	Description	Sample Values
Tracer Code	Tracer code is auto-populated from the latest LC.	
Description	Tracer description is auto-populated from the lat- est LC.	
Party Type	Click Search to search and select the party type of the tracers from the lookup.	
Required	Toggle on - Switch on the toggle to capture the tracer details.	
	Toggle off - Switch of the toggle, if user does not require to capture tracer details.	



Field	Description	Sample Values
Maximum Tracers	Provide the value for maximum number of tracers.	
Number Sent	Provide the number of tracers sent.	
Start Days	Capture the tracer start days.	
Last Sent On	Capture the date on which the tracer is last sent.	
Medium	Select the tracer medium from the LOV: Mail Swift 	
Frequency	System will default the days set up at the product level. Value can be 1, 2 etc. which represents daily, once in 2 days etc.	
Template ID	Click Search to search and select the party type of the template ID from the lookup.	

3.5.7.7 Action Buttons

Field	Description	Sample Values
Clarification Details	Clicking the button opens a detailed screen, user can see the clarification details in the window and the status will be Clarification Requested.	
Documents	Click the Documents button to View/Upload the required documents.	
	Application will display the mandatory and optional documents.	
	The user can view and input/view application details simultaneously.	
	When a user clicks on the uploaded document, Document window get opened and on clicking the view icon of the uploaded document, Application screen should get split into two. The one side of the document allows to view and on the other side allows to input/view the details in the applica- tion.	
Remarks	Click the Remarks button to provide any addi- tional information. This information can be viewed by other users processing the request.	
	Content from Remarks field should be handed off to Remarks field in Backend application.	
Overrides	Click to view overrides, if any.	



Field	Description	Sample Values
Customer Instructions	Click to view/ input the following	
	 Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this. 	
	 Transaction Level Instructions – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions. 	
Request Clarification	User should be able to specify the clarification details for requests received online.	
Incoming Message	This button displays the multiple messages.	
	In case of MT798, the User can click and view the MT798 message(770,700/701).	
	In case of MT798-MT726-MT759 request, user can view MT798 message(726-759) in this place-holder in Header of the task.	
	In case of MT798_MT788-MT799 request, user can view MT798 message (788-799) in this placeholder in Header of the process-task.	
Signatures	Click the Signature button to verify the signature of the customer/ bank if required.	
	The user can view the Customer Number and Name of the signatory, Signature image and the applicable operation instructions if any available in the back-office system.	
	If more than one signature is required, system should display all the signatures.	
Request Clarification	User should be able to submit the request for clarification to the "Trade Finance Portal" User for the transactions initiated offline.	
Refer	User must select a Refer Reason from the values displayed by the system.	
	Refer Codes:	
	 R1- Documents missing 	
	R2- Signature Missing	
	R3- Input Error	
	R4- Insufficient Balance/Limits R5 Others	
	R5 - Others.	



Field	Description	Sample Values
Save & Close	Save the information provided and holds the task in you queue for working later. This option will not submit the request.	
Cancel	Cancel the LC Issuance Scrutiny stage inputs and the task gets landed in My Task menu.	
Hold	The details provided will be on hold. This option is used, if there are any pending infor- mation yet to be received from applicant and appropriate remarks must be provided.	
Reject	 On click of Reject, user must select a Reject Reason from a list displayed by the system. Reject Codes: R1- Documents missing R2- Signature Missing R3- Input Error R4- Insufficient Balance/Limits R5 - Others. Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process. On reject, a reject notification gets generated to the applicant. 	
Next	Task will get moved to next logical stage of Import LC Issuance. If mandatory fields have not been captured, sys- tem will display an error message until the man- datory fields data are provided.	

3.5.8 <u>Settlement Details</u>

ataEnrichment :: Applica	tion No:- 032ILCI00017470)1						
Main	Settlement Details							Screen (8)
Availability	Current Event							
Payment	▲ Settlement Deta	ile						
Documents and Conditions								
Additional Fields	Component	Currency	Debit/Credit	Account	Account Descriptio	Account Currency	Netting Indicator	Current Event
Advices	BILL_AMT_EQUIV	GBP	Credit	PK1000328012	HSBC BANK	GBP	No	No
Additional Details	BILL_LIQ_AMT	GBP	Debit	PK20010440017	GOODCARE PLC	GBP	No	No
Settlement Details	BKTAX_AMT	GBP	Debit	PK20010440017	GOODCARE PLC	GBP	No	No
Summary	CHG1_LIQD	GBP	Credit	PK20010440017	GOODCARE PLC	GBP	No	No
	CHG1_LIQD_AMTEQ	GBP	Debit	PK1000328012	HSBC BANK	GBP	No	No
	LQTAX_AMT	GBP	Debit	PK20010440017	GOODCARE PLC	GBP	No	No
	REFUND_INTEREST	GBP	Credit	PK20010440017	GOODCARE PLC	GBP	No	No

Provide the settlement details based on the description in the following table:

	· · · ·	
Field	Description	Sample Values
Current Event	The user can select the check box to populate the settlement details of the current event associated with the task. On De-selecting the check box, the system list all the accounts under the settlement details irrespective of the current event.	
Component	Components gets defaulted based on the product selected.	
Currency	System displays the default currency for the component.	
Debit/Credit	System displays the debit/credit indicators for the components.	
Account	System displays the account details for the components.	
Account Description	System displays the description of the selected account.	
Account Currency	System defaults the currency for all the items based on the account number.	
Netting Indicator	Application displays the applicable netting indica- tor.	
Current Event	System defaults the current event as Y or N.	



3.5.8.1 Action Buttons

Field	Description	Sample Values
Clarification Details	Clicking the button opens a detailed screen, user can see the clarification details in the window and the status will be Clarification Requested.	
Documents	Click the Documents button to View/Upload the required documents.	
	Application will display the mandatory and optional documents.	
	The user can view and input/view application details simultaneously.	
	When a user clicks on the uploaded document, Document window get opened and on clicking the view icon of the uploaded document, Application screen should get split into two. The one side of the document allows to view and on the other side allows to input/view the details in the applica- tion.	
Remarks	Click the Remarks button to provide any addi- tional information. This information can be viewed by other users processing the request.	
	Content from Remarks field should be handed off to Remarks field in Backend application.	
Overrides	Click to view overrides, if any.	
Customer Instructions	Click to view/ input the following	
	• Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this.	
	• Transaction Level Instructions – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions.	
Request Clarification	User should be able to specify the clarification details for requests received online.	



Field	Description	Sample Values
Incoming Message	This button displays the multiple messages.	
	In case of MT798, the User can click and view the MT798 message(770,700/701).	
	In case of MT798-MT726-MT759 request, user can view MT798 message(726-759) in this place-holder in Header of the task.	
	In case of MT798_MT788-MT799 request, user can view MT798 message (788-799) in this placeholder in Header of the process-task.	
Signatures	Click the Signature button to verify the signature of the customer/ bank if required.	
	The user can view the Customer Number and Name of the signatory, Signature image and the applicable operation instructions if any available in the back-office system.	
	If more than one signature is required, system should display all the signatures.	
Request Clarification	User should be able to submit the request for clarification to the "Trade Finance Portal" User for the transactions initiated offline.	
Refer	User must select a Refer Reason from the values displayed by the system.	
	Refer Codes:	
	 R1- Documents missing 	
	R2- Signature Missing	
	R3- Input Error	
	 R4- Insufficient Balance/Limits R5 - Others. 	
Save & Close	Save the information provided and holds the task in you queue for working later. This option will not submit the request.	
Cancel	Cancel the LC Issuance Scrutiny stage inputs and the task gets landed in My Task menu.	
Hold	The details provided will be on hold. This option is used, if there are any pending infor- mation yet to be received from applicant and appropriate remarks must be provided.	



Field	Description	Sample Values
Reject	On click of Reject, user must select a Reject Rea- son from a list displayed by the system.	
	Reject Codes:	
	 R1- Documents missing 	
	R2- Signature Missing	
	R3- Input Error	
	 R4- Insufficient Balance/Limits 	
	• R5 - Others.	
	Select a Reject code and give a Reject Descrip- tion.	
	This reject reason will be available in the remarks window throughout the process. On reject, a reject notification gets generated to the applicant.	
Next	Task will get moved to next logical stage of Import LC Issuance.	
	If mandatory fields have not been captured, sys- tem will display an error message until the man- datory fields data are provided.	

3.5.9 <u>Summary</u>

User can review the summary of details updated in Data Enrichment stage Import LC Issuance request.

Summary									
Main		Availability		Payment		Documents and	l Conditions	Additional Fields	
Form of LC	: IRREVOCABLE	Available With	1	Period of Present.		Document 1	: AIRDOC	Click here to view	:
Submission Mode	: Desk	Available By	:	Confirmation Instr.	: CONFIRM	Document 2	: INSDOC	Additional fields	
Date of Issue	: 2021-05-05					Document 3 Document 4	: INVDOC : MARDOC		
						Document 5	: OTHERDOC		
Advices		Revolving Details		Limits and Collat	erals	Commission,Ch	arges and Taxes	Preview Message	
Advice 1 Advice 2	:	Revolving Revolving In Revolving Frequency	: NO :	Limit Currency Limit Contribution Limit Status Collateral Currency Collateral Contr. Collateral Status	: EUR : 2200 : Not Verified : GBP : 176 : Not Verified	Charge Commission Tax Block Status	: : : Not Initia	Language Preview Message	: ENG :-
Party Details		Compliance		Accounting Deta	ls	FX Linkage		Insurance Details	
Applicant Beneficiary Advising Bank	: GOODCARE PLC : MARKS AND : RBS PLC	KYC Sanctions AML	: Not Initia : Not Initia : Not Initia	Event Account Number Branch	:	Contract Amount	:	Company Insured Amount Expiry Date	:
Settlement Deta	ils	Tracer Details							
Component Account Number Currency	:	Tracer Code Required Medium Frequency	:						
	Main Form of LC Submission Mode Date of Issue Advices Advice 1 Advice 2 Party Details Applicant Beneficiary Advising Bank Settlement Detai Component Account Number	Main Form of LC : IRREVOCABLE Submission Mode : Deck Date of Isue : 2021.05.05 Advices : 2021.05.05 Advice1 : Advice Advice2 : · · · · · · · · · · · · · · · · · · ·	Main Availability Form of LC : IRREVOCABLE Submission Mode : Detk Date of Issue : 2021-05-05 Advices : 2021-05-05 Advices Revolving Details Advice 1 : Advice 2 : Revolving In Revolving Strappendic St	Main Availability Form of LC :: IREEVCCABLE Available W/m :: Submission Node :: Deck Available W/m :: Date of Issue :: 2021-05-05 Port of Discharge : Advices Revolving Details Revolving Details Advice 1 :: Revolving Details Advice 2 :: Revolving In : Party Details Compliance Revolving Frequency : : Party Details Compliance M/L : Net Initia Advising Bank : 885 PLC K/C : Net Initia Settlement Details Tracer Details Tracer Code : Component : Required : Medium :	Main Availability Payment Form of LC :: BREVOCABLE Available W/m : Period of Present. Submission Node : Deck Period of Joseant. Period of Present. Confirmation Instr. Date of Issue : 2021-05-05 Period Discharge : Period of Present. Advices Revolving Details Limits and Collat Itemits and Collat Advice 1 : Revolving Details Limit Currency Advice 2 : Revolving Prequency : Limit Currency Advice 3 : Revolving Frequency : Limit Contribution Party Details Compliance Accounting Detail Event Applicant : 6000CARE PLC IVC : Net Initia Event Applicant : 6000CARE PLC IVC : Net Initia Event Advising Bank : 885 PLC VIC : Net Initia Event Settlement Details Tracer Details Image: Planch Event Component : Medivinu : Medivinu	Main Availability Payment Form of LC :: IBREVOCABLE Available With : Submission Mode : Dark Available By : Date of Issue : 2021-05-05 Available By : Port of Loan : 2021-05-05 Port of Loaning : Advices Revolving Details Limits and Collaterals Advice 1 : Revolving In : Advice 2 : Revolving In : Revolving Frequency : Umit Currency : EUR Party Details Compliance Accountify Details Umit Stans Party Details Compliance Account Number : Advising Bank : RBS PLC IVC : Not Initia Sectionent 1: : Advis 1: : Advising Bank : RBS PLC Tracer Details Event : Component 1: : Median : Account Number 1: : Median : Component 1: : Median : Areach Number 1: : Median :	Main Arailability Payment. Documents and Form of LC :: BREVOCABLE Available With : Period of Present. : Confirmation Inst. : CONFIRM Document 1 Document 2 Date of Isue : 2021-05-05 Period Discharge : Confirmation Inst. : CONFIRM Document 2 Document 2 Document 3 Document 3 Document 2 Document 3 Document 4 Document 3 Document 4 Document 3 Document 3 Document 3 Document 3 Document 4 Document 3 Document 4 Document 3 Document 3	Main Availability Payment Documents and Conditions Form of LC :: BREVOCABLE Availability Period of Present. : Document 1 : ARDOC Date of Issue : 2021-05-05 Availability : Deciment 1 : ARDOC Date of Issue : 2021-05-05 Port of Losing 1: Port of Discharge : Confirmation Inst. : CONFIRM Document 2: INSDOC Advices Revolving Details Limits and Collaterals Commission. Document 3: INTROCC Advice 1 : Revolving In : Limits and Collaterals Commission: Totare : Advice 2 : Revolving In : Limits and Collaterals Commission : Totare : Party Details Compliance Account functorn: :: Totare : : Totare : : Bock Satue :: : Not Initia Advising Bank :: :: Compliance Account functor :: FX Elevelong Prepared Totare : : Bock Satue :: : Not Initia Advise 2 :: : Not : : Account functor: ::: FX Eleve	Main Availability Payment Documents and Conditions Additional Fields Form of LC : IRREVOCABLE Submission Mode : Deck Available Ky : Anailable Ky Period of Present. : Confirmation Instr. : CONFIRM Document 1 : AlROCC Cick here to view Additional Fields Date of Issue : 2221-05-05 Revolving Details Limits and Collaterals Comment 4 : MNODC Document 4 Cisk here to view Additional Fields Advices : Revolving Details Limit cared Collaterals Commission, Charges and Taxes Preview Message Advice 1 : Revolving frequency : ::NO Unit Currency :: EVR Unit Contribution :: 220 Commission :: Language Document 5 Image :: Language Dreview Message Advice 2 : Revolving Frequency : Unit Currency :: EVR Unit Contribution :: 220 Commission :: Language Document 5 Language Preview Message Party Details Compliance Accounting Details Not Workingd Collateral Currency :: 175 Bock Status :: Net Initia Imsurance Details Advising Bank : RBS PLC K1C : Not Ishina Event : Account Number : Energivend : Medured : Medured : Medured : Medured : Medured : Medured : Medured : Medured : Taccer Details <td< td=""></td<>

Tiles Displayed in Summary

- Main Details User can view and modify details about application details and LC details, if required.
- Party Details User can view and modify party details like beneficiary, advising bank etc., if required.

- Availability User can view and modify availability and shipment details, if required.
- Payment User can view and modify all details related to payments, if required.
- Documents & Condition User can view and modify the documents required grid and the additional conditions grid, if required.
- Additional Fields User can view and modify the details of additional fields, if required.
- Advices User can view and modify the advice details, if required.
- Revolving Details User can view and modify revolving details on revolving LC, if applicable.
- Limits and Collaterals User can view and modify limits and collateral details, if required.
- Commission and Charges and Taxes User can view and modify charge details, if required.
- Preview Messages User can view preview messages, if required.
- Compliance User can view compliance details. The status must be verified for KYC and to be initiated for AML and Sanction Checks.
- Accounting Details User can view the accounting entries generated in back office.

Note

When the Value Date is different from the Transaction Date for one or more accounting entries, system displays an Alert Message "Value Date is different from Transaction Date for one or more Accounting entries.

- FX Linkage User can view and modify the details of FX Linkage, if required.
- Insurance Details User can view and modify insurance details, if required.
- Settlement Details User can view and modify settlement details, if required.
- Tracer Details User can view and modify tracer details, if required.

3.5.9.1 Action Buttons

Field	Description	Sample Values
Documents	Click the Documents icon to Upload the required documents.	
	Application will display the mandatory and optional documents.	
Remarks	Click the Remarks icon to provide any additional information. This information can be viewed by other users processing the request.	
	Content from Remarks field should be handed off to Remarks field in Backend application.	
Overrides	Click to view overrides, if any.	
Request Clarification	User should be able to specify the clarification details for requests received online.	

Field	Description	Sample Values
Refer	User must select a Refer Reason from the values displayed by the system. Refer Codes: R1- Documents missing R2- Signature Missing R3- Input Error R4- Insufficient Balance/Limits R5 - Others.	
Submit	Task will get moved to next logical stage of Import LC Issuance. If mandatory fields have not been captured, sys- tem will display an error message until the man- datory fields data are provided.	
Save & Close	Save the information provided and holds the task in you queue for working later. This option will not submit the request	
Cancel	Cancel the Data Enrichment stage inputs and the task gets landed in My Task menu.	
Hold	The details provided will be registered and status will be on hold. This option is used, if there are any pending infor- mation yet to be received from applicant and appropriate remarks must be provided.	
Reject	On click of Reject, user must select a Reject Rea- son from a list displayed by the system. Reject Codes: R1- Documents missing R2- Signature Missing R3- Input Error R4- Insufficient Balance/Limits R5 - Others. Select a Reject code and give a Reject Descrip- tion. This reject reason will be available in the remarks window throughout the process. On reject, a reject notification gets generated to the applicant.	

3.6 <u>Customer Response - Draft Confirmation</u>

The user can review and handle the customer's response received for the draft confirmation for LC issuance transactions, which is sent to the customer for their verification and



confirmation. The OBTFPM system sends Draft MT700 along with up to seven MT701 messages as attachment to the customer.

The Transaction Reference Number is masked, before sending the Draft Import LC for Customer approval.

The customer response can be received both by online and offline mode. In non-online mode, user receives the response in the branch.

In online mode the customer will share their response online that will be automatically updated in the customer response field in the task, which is available in the customer response pending stage.

Log in into OBTFPM application, and open the task to see customer response screen.

3.6.1 Customer Response

Language - Read only field

Draft Message - Read only field

3.6.1.1 **Draft Confirmation**

Draft Confirmation required - Read only field

Customer Response - This field will be available for you to update any of the below response based on the customer's reply

- Accepted
- Changes Required Change and proceed •

For non-online response – User can select customer response from one of the three drop list values mentioned above.

For Online response - Read only

Customer Remarks - Capture the remarks of the customer.

Response Date - Non-Online channel - Update the date on which the customer response has been received.

Online Channel - Read only

3.6.1.2 Summary

Tiles Displayed in Summary

- Main Details User can view and modify details about application details and LC details. if required.
- Party Details User can view and modify party details like beneficiary, advising bank etc., if required
- Availability and Shipment User can view and modify availability and shipment details, if required.
- Payments User can view and modify all details related to payments, if required.
- Documents & Condition User can view and modify the documents required grid and the additional conditions grid, if required.
- Limits and Collaterals User can view and modify limits and collateral details, if required. •



- Charges User can view and modify charge details, if required.
- Revolving Details User can view and modify revolving details on revolving LC, if applicable.
- Preview Messages User can view and modify preview details, if required.
- Compliance User can view compliance details. The status must be verified for KYC and to be initiated for AML and Sanction Checks.

Documents – User must be able to view the documents uploaded in the system and upload documents if customer response has been received through non- online channel.

Remark - Capture remarks if required and must be able to view the remarks captured during earlier stages.

3.6.1.3 Action Buttons

Field	Description	Sample Values
Submit	Task will get moved to next logical stage of Import LC Issuance.	
	If mandatory fields have not been captured, sys- tem will display an error message until the man- datory fields data are provided.	
Cancel	Cancel the Draft Confirmation.	
Hold	The details provided will be registered and status will be on hold.	
	This option is used, if there are any pending infor- mation yet to be received from applicant and appropriate remarks must be provided.	
Reject	On click of Reject, user must select a Reject Reason from a list displayed by the system.	
	Reject Codes:	
	 R1- Documents missing 	
	R2- Signature Missing	
	 R3- Input Error R4- Insufficient Balance/Limits 	
	 R5 - Others. 	
	Select a Reject code and give a Reject Descrip- tion.	
	This reject reason will be available in the remarks window throughout the process. On reject, a reject notification gets generated to the applicant.	



Field	Description	Sample Values
Refer	User will be able to refer the task back to the Data Enrichment user.User must select a Refer Reason from the values displayed by the system. Refer Codes:	

3.7 Exceptions

The Import LC Issuance request, before it reaches the approval stage, the application will validate the Amount Block, KYC and AML. If any of these failed in validation will reach exception stage for further clearance for the exceptions.

3.7.1 Exception - Amount Block

As part of amount block validation, application will check if sufficient balance is available in the account to create the block. On hand-off, system will debit the blocked account to the extent of block and credit charges/ commission account in case of charges block or credit the amount in suspense account for blocks created for collateral.

The transactions that have failed amount block due to non-availability of amount in respective account will reach the amount block exception stage.

Log in into OBTFPM application, amount block exception queue. Amount block validation failed tasks for trade transactions will be listed in the queue. Open the task to view summary of important fields with values.

On Approval, system should not release the Amount Block against each applicable account and system should handoff the "Amount Block Reference Number "to the back office. On successful handoff, back office will make use of these "Amount Block

Reference Number" to release the Amount Block done in the mid office (OBTFPM) and should debit the CASA account from the Back office. If multiple accounts are applicable, Amount Block.

Reference for all accounts to be passed to the back office.

Exception is created when sufficient balance is not available for blocking the settlement account and the same can be addressed by the approver in the following ways:

Approve:

- Settlement amount will be funded (outside of this process)
- Allow account to be overdrawn during hand-off

Refer:

• Refer back to DE providing alternate settlement account to be used for block.



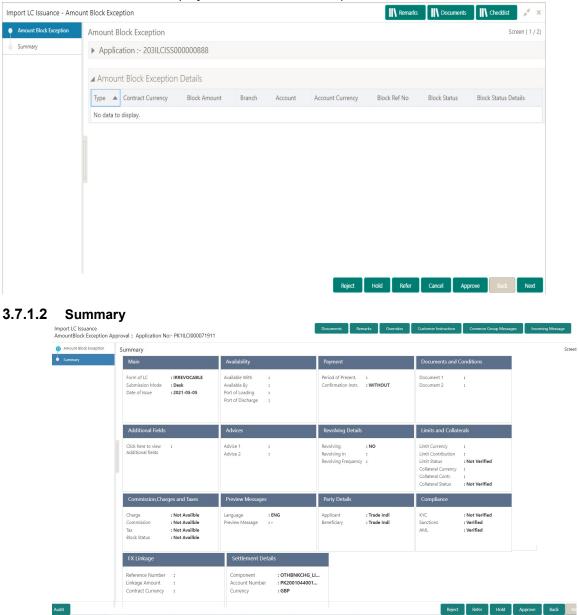
• Different collateral to be mapped or utilize lines in place of collateral.

Reject:

Reject the transaction due to non-availability of sufficient balance in settlement account

3.7.1.1 Amount Bock Exception

This section will display the amount block exception details.



Tiles Displayed in Summary:

- Main Details User can view and modify details about application details and LC details, if required.
- Party Details User can view and modify party details like beneficiary, advising bank etc., if required
- Limits and Collaterals User can view and modify limits and collateral details, if required.
- Charge Details User can view and modify details provided for charges, if required.

3.7.1.3 Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Reject	 On click of reject, user must select a Reject Reason from a list displayed by the system. Reject Codes: R1- Documents missing R2- Signature Missing R3- Input Error R4- Insufficient Balance/Limits R5 - Others. Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process. On reject, a reject notification gets generated to the applicant. 	
Hold	The details provided will be registered and status will be on hold. This option is used, if there are any pending infor- mation yet to be received from applicant and appropriate remarks must be provided.	
Refer	User will be able to refer the task back to the Data Enrichment user.User must select a Refer Rea- son from the values displayed by the system. Refer Codes:	
Cancel	Cancel the Import LC issuance Amount Block Exception check and the task gets landed in My Task menu.	
Approve	On approve, application must validate for all man- datory field values, and task must move to the next logical stage.	
Back	Task moves to previous logical step.	

3.7.2 Exception - Know Your Customer (KYC)

As part of KYC validation, application will check if necessary KYC documents are available and valid for the applicant. The transactions that have failed KYC due to non-availability / expired KYC verification will reach KYC exception stage.



Log in into OBTFPM application, KYC exception queue. KYC exception failed tasks for Trade Finance transactions must be listed in your queue. Open the task, to see summary tiles that display a summary of important fields with values.

User can pick up a transaction and do the following actions:

Approve

- After changing the KYC status in the Back End application (outside this process).
- Without changing the KYC status in the Back End application.
- Reject (with appropriate reject reason).

3.7.2.1 Summary

😑 🍞 FuTura Bank	k My Tasks				1 Bank Futura - (203) 04/13/18	SHUBHAM
Import LC Issuance - KYC	Exception				IIN Ren	harks	theddist _≠ ×
KYC Exception Details	Summary						Screen (2 / 2)
 Summary 	▶ Application :- 203IL	CISS000000011					
	Main Details		Party Details	0	Limits & Collateral	s 🚺	
	Submission Mode : D Date Of Issue : 20	018-04-13 018-07-31	Applicant Beneficiary Advising Bank Confirming Bank	: NESTLE : EMR & CO : BANK OF AMERICA :	Collateral Currency Collateral Contribution		
	Sanctions : N	ot Verified ot Initiated ot Initiated					
				Reject	fold Refer Canco	el Approve B:	Next Submit

Tiles Displayed in Summary:

- Main Details User can view and modify details about application details and LC details, if required.
- Party Details User can view and modify party details like beneficiary, advising bank etc., if required
- Limits and Collaterals User can view and modify limits and collateral details, if required.
- Compliance User can view compliance details. The status must be verified for KYC and to be initiated for AML and Sanction Checks.



3.7.2.2 Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Reject	On click of Reject, user must select a Reject Reason from a list displayed by the system.	
	Reject Codes:	
	 R1- Documents missing 	
	R2- Signature Missing	
	R3- Input Error	
	R4- Insufficient Balance/Limits	
	• R5 - Others.	
	Select a Reject code and give a Reject Descrip- tion.	
	This reject reason will be available in the remarks window throughout the process. On reject, a reject notification gets generated to the applicant.	
Hold	The details provided will be registered and status will be on hold.	
	This option is used, if there are any pending infor- mation yet to be received from applicant and appropriate remarks must be provided.	
Refer	User will be able to refer the task back to the Data Enrichment user.User must select a Refer Rea- son from the values displayed by the system. Refer Codes:	
	 R1- Documents missing 	
	R2- Signature Missing	
	R3- Input Error	
	R4- Insufficient Balance- Limits	
	R5 - Others	
Cancel	Cancel the Import LC issuance KYC exception check and the task gets landed in My Task menu.	
Approve	On approve, application must validate for all man- datory field values, and task must move to the next logical stage.	
Back	Task moves to previous logical step.	

3.7.3 Exception - Limit Check/Credit

The transactions that have failed limit check due to non-availability of limits will be available in limit check exception queue for further handling.

Log in into OBTFPM application, limit check exception queue. Limit check exception failed tasks for Trade Finance transactions must be listed in your queue. Open the task, to see summary tiles that display a summary of important fields with values.

Note

On Approval of the exception task, system should validate the Limit Availability, Limit Expiry Date in the Limit System and create Earmark in the ELCM system. In case if the Limit is not available or the Limit is expired, then system should display an error message and should not allow the user to approve and proceed.

Limit check Exception approver can do the following actions:

Approve

- Limit enhanced in the Back End (outside this process).
- Without enhancing limit in the Back End.

Refer

- Refer back to DE providing alternate limit id to map
- Refer additional collateral to be mapped

Reject

The transaction due to non-availability of limits capturing reject reason.

3.	7	.3	.1	Summary	

\equiv ORACLE [®]	(DEFAULTENTITY) Oracle Banking Trade Brinan. ZARTABO2 Jun 13, 2021 subham@gmail.com
Import LC Issuance Limit Earmark Exception A	Documents Remarks Overrides Customer Instruction Incoming Message
Credit Exception Summary	Credit Exception Screen (1/2) # Limit Details
	Customer ID Linkage Type Liability Number Line Id/Linkage Ref No Line Serial Contribution % Contribution Currency Contribution Amount Limit Check Response Response No data to display. Response Response <
	Earmark
	4
	Cash Collateral Details Collateral Percentage * Collateral Currency and amount Exchange Rate
	Conateral recentage Consteal Currency and amount Exchange Rate
	Sequence Number Settlement Account Currency Settlement Account Exchange Rate Collateral % Contribution Amount Contribution Amount in Account Currency Account Balance
	No data to display.
Audit	Reject Refer Hold Approve Back Next

Tiles Displayed in Summary:

- Main Details User can view and modify details about application details and LC details, if required.
- Party Details User can view and modify party details like beneficiary, advising bank etc., if required
- Availability User can view and modify availability and shipment details, if required.

- Payment User can view and modify all details related to payments, if required.
- Documents & Condition User can view and modify the documents required grid and the additional conditions grid, if required.
- Limits Details User can view and modify limits details, if required.
- Charges User can view and modify charge details, if required.
- Revolving Details User can view and modify revolving details on revolving LC, if applicable.
- Preview Messages User can view and modify preview details, if required.
- Compliance User can view compliance details. The status must be verified for KYC and to be initiated for AML and Sanction Checks.
- Accounting Details User can view the accounting entries generated in back office.

Note

When the Value Date is different from the Transaction Date for one or more accounting entries, system displays an Alert Message "Value Date is different from Transaction Date for one or more Accounting entries.

3.7.3.2 Action Buttons

Field	Description	Sample Values
Reject	On click of Reject, user must select a Reject Rea- son from a list displayed by the system.	
	Reject Codes:	
	 R1- Documents missing 	
	 R2- Signature Missing 	
	R3- Input Error	
	 R4- Insufficient Balance/Limits 	
	• R5 - Others.	
	Select a Reject code and give a Reject Descrip- tion.	
	This reject reason will be available in the remarks window throughout the process. On reject, a reject notification gets generated to the applicant.	
Hold	The details provided will be registered and status will be on hold.	
	This option is used, if there are any pending infor- mation yet to be received from applicant and appropriate remarks must be provided.	



Field	Description	Sample Values
Refer	User will be able to refer the task back to the Data Enrichment user.User must select a Refer Rea- son from the values displayed by the system. Refer Codes:	
	R1- Documents missing	
	R2- Signature Missing	
	R3- Input Error	
	 R4- Insufficient Balance- Limits 	
	R5 - Others	
Cancel	Cancel the Import LC Issuance Limit exception check and the task gets landed in My Task menu.	
Approve	On approve, application must validate for all man- datory field values, and task must move to the next logical stage.	
Back	Task moves to previous logical step.	

3.8 Multi Level Approval

Log in into OBTFPM application and open the task to see the summary tiles. The tiles should display a list of important fields with values. User must be able to drill down from summary Tiles into respective data segments to verify the details of all fields under the data segment.

In case of MT798, on approval the task is handed off to back office system to create LC contract and generate the required MT700/701/740 messages.

Note

The user can simulate/recalculate charge details and during calling the handoff, if handoff is failed with error the OBTFM displays the Handoff failure error during the Approval of the task.

3.8.1 Authorization Re-Key

For non online channel, application will request approver for few critical field values as an authorization step. If the values captured match with the values available in the screen, system will allow user to open the transaction screens for further verification. If the re-key values are different from the values captured, then application will display an error message.

Open the task and re-key some of the critical field values from the request in the Re-key screen. Some of the fields below will dynamically be available for re-key.:

- Currency
- Amount

Re-key is applicable to the first approver in case of multiple approvers. All approvers will however be able see the summary tiles and the details in the screen by drill down from tiles.

🗏 🍞 FuTura Bank	Fre	e Tasks	TFBN UK (GS1) Feb 1, 2019 SRIDHA						m FBN UK (GS1) 🗮 Feb 1, 2019		
ore Maintenance		C Refresh									
ashboard			Contraction of								
Aaintenance		Action	Priority	Application Number	Approval Rekey		×	Process Name	Stage	Back Office Ref No.	
	- 6	Acquire & Edit	н	GS1ELCA000006067	Approvarticity			19 Export LC Advising	Scrutiny	GS1ELAC19032BL3Y	
ecurity Management 🔹 🕨	8	Acquire & Edit	н	GS1ELCA000006064				19 Export LC Advising	Scrutiny	GS1ELAC19032BL3V	
asks 🔻	8	Acquire & Edit	н	GS1ELCA000006056	IN Incoming Message	Documents	Remarks	19 Export LC Advising	Scrutiny	GS1ELAC19032BL3R	
	6	Acquire & Edit	н	GS1ELCA000006055				19 Export LC Advising	Scrutiny	GS1ELAC19032BL3O	
Free Tasks	8	Acquire & Edit	н	GS1ELCA000006053	Currency		0	19 Export LC Advising	Scrutiny	GS1ELAC19032BL3N	
Hold Tasks	6	Acquire & Edit	н	GS1ELCA000006052	· · ·		·	01 Export LC Advising	Scrutiny	GS1ELAC19032BL3M	
					GBP	Ŧ				-	
My Tasks	Pa	ge 1 of 1 (1-10	0 of 10 item	s) K < 1 >	Amount		0				
					£	15,000.00					
		Previous 1 - 10	of 2595 rec	ords Next							
Supervisor Tasks											
rade Finance 🔹 🕨											
					Proceed	i Refer	Cancel				

3.8.1.1 Action Buttons

Field	Description	Sample Values
Documents	Upload the required documents.	
	Application displays mandatory documents to be uploaded for Import LC Issuance.Place holders are also available to upload additional documents submitted by the applicant.	
Remarks	Provide any additional information regarding the LC. This information can be viewed by other users processing the request.	
Incoming Message	This button displays the multiple messages.	
	In case of MT798, the User can click and view the MT798 message(770,700/701).	
	In case of MT798-MT726-MT759 request, user can view MT798 message(726-759) in this place-holder in Header of the task.	
	In case of MT798_MT788-MT799 request, user can view MT798 message (788-799) in this placeholder in Header of the process-task.	
Action Buttons		
Proceed	On proceed, the screen navigates to approval summary screen.	

Field	Description	Sample Values
Refer	User will be able to refer the task back to the Data Enrichment user.User must select a Refer Rea- son from the values displayed by the system. Refer Codes:	
Cancel	Cancel the Import LC Issuance Approval Rekey.	

3.8.2 <u>Summary</u>

Main		Availability		Payment		Documents ar	d Conditions	Addi	tional Fields		
Form of LC Submission Mode Date of Issue	: IRREVOCABLE : Desk : 2021-05-05	Available By Port of Loading	:::::::::::::::::::::::::::::::::::::::	Period of Present. Confirmation Instr.	: : WITHOUT	Document 1 Document 2	:		ere to view onal fields	:	
Advices		Revolving Details		Limits and Collat	erals	Commission,C	harges and Taxes	Previ	ew Message	IS	
Advice 1 Advice 2	:	-	: NO : :	Limit Currency Limit Contribution Limit Status Collateral Currency Collateral Contr. Collateral Status	: : Not Verified : : : Not Verified	Charge Commission Tax Block Status	: Not Availble : Not Availble : Not Availble : Not Availble	Langu: Previe	ige v Message	: ENG :-	
Party Details		Compliance		Accounting Deta	ils	Exception(App	roval)	Trace	r Details		
Applicant Beneficiary	: Trade Indi : Trade Indi	KYC Sanctions AML	: Not Verified : Verified : Verified	Event Account Number Branch	:	AmountBlock,KYG PLEASE VISIT REMARKS FOR M DETAILS	1-	Tracer Requir Mediu Freque	ed m	: CHG_COM_TR : Yes : : 1	

Tiles Displayed in Summary:

- Main Details User can view and modify details about application details and LC details, if required.
- Party Details User can view and modify party details like beneficiary, advising bank etc., if required
- Availability User can view and modify availability and shipment details, if required.
- Payment User can view and modify all details related to payments, if required.
- Documents & Condition User can view and modify the documents required grid and the additional conditions grid, if required.
- Limits Details User can view and modify limits and collateral details, if required.
- Charges User can view and modify charge details, if required.
- Preview Message details User can view and modify preview details, if required.
- Compliance User can view compliance details. The status must be verified for KYC and to be initiated for AML and Sanction Checks.
- Accounting Details User can view the accounting entries generated in back office.

Note

When the Value Date is different from the Transaction Date for one or more accounting entries, system displays an Alert Message "Value Date is different from Transaction Date for one or more Accounting entries.

3.8.2.1 Action Buttons

Field	Description	Sample Values
Reject	On click of Reject, user must select a Reject Reason from a list displayed by the system.	
	Reject Codes:	
	 R1- Documents missing 	
	R2- Signature Missing	
	R3- Input Error	
	R4- Insufficient Balance/Limits	
	• R5 - Others.	
	Select a Reject code and give a Reject Descrip- tion.	
	This reject reason will be available in the remarks window throughout the process. On reject, a reject notification gets generated to the applicant.	
Hold	The details provided will be registered and status will be on hold.	
	This option is used, if there are any pending infor- mation yet to be received from applicant and appropriate remarks must be provided.	
Refer	User will be able to refer the task back to the Data Enrichment user.User must select a Refer Reason from the values displayed by the system. Refer Codes:	
	R1- Documents missing	
	R2- Signature Missing	
	R3- Input Error	
	 R4- Insufficient Balance- Limits 	
	R5 - Others	
Cancel	Cancel the approval and the task gets landed in My Task menu.	
Approve	On approve, application must validate for all man- datory field values, and task must move to the next logical stage. If there are more approvers, task will move to the next approver for approval. If there are no more approvers, the transaction is handed off to the Back End system for posting.	



3.9 Customer - Acknowledgement

Customer Acknowledgment is generated every time a new Import LC Issuance is requested from the customer. The acknowledgment letter format is as follows:

To:

<CUSTOMER NAME>

DATE: DD-MM-YYYY

<CUSTOMER ADDRESS>

Dear Sir,

SUB: Acknowledgement to your LC Application number <CUSTOMER REFERENCE NUMBER> dated <APPLICATION DATE>

This letter is to inform you that we have received your application for issue of Import LC with the below details:

CUSTOMER NAME: <CUSTOMER NAME>

CURRENCY/AMOUNT: <LC CCY/AMT>

YOUR REFERENCE NO: < CUSTOMER REFERENCE NUMBER>

OUR REF NUMBER: < PROCESS REFERENCE NUMBER>

We have also received the following Documents from you for processing the request:

Document Name 1

Document Name 2

Document Name n

We have registered your request. Please quote our reference < PROCESS REF NUMBER> in any future correspondence.

This acknowledgement does not constitute issuance of LC.

Thank you for banking with us.

Regards,

<DEMO BANK>

Notice: This document is strictly private, confidential and personal to its recipients and should not be copied, distributed or reproduced in whole or in part, nor passed to any third party. The information contained in this e-mail/ message and/or attachments to it may contain confidential or privileged information. If you are not the intended recipient, any dissemination, use, review, distribution, printing or copying of the information contained in this message and/ or attachments to it are strictly prohibited. If you have received this communication in error, please notify us by reply e-mail or telephone and immediately and permanently delete the message and any attachments.

Thank you

3.10 Customer - Reject Advice

Reject Letter is generated by the system and addressed to the customer, when a task is rejected by the user. The Reject Letter format is as follows:

FROM:

<BANK NAME>

<BANK ADDRESS>

TO:

DATE <DD/MM/YYYY>

<CUSTOMER NAME>

<CUSTOMER ADDRESS>

<CUSTOMER ID>

Dear Sir,

SUB: Your Import LC Application <Customer Reference Number> under our Process Ref <Process Ref No> - Rejected

Further to your recent Import LC application request dated <Application Date -DD/MM/ YYYY>, under our process ref no <process ref no>, this is to advise you that we will not be able to issue the required LC.

After a thorough review of your application and the supporting documents submitted, we have concluded we will not be able to issue the LC due to the below reason

<Reject Reason >

On behalf of Demo Bank, we thank you for your ongoing business and trust we will continue to serve you in future.

For any further queries about details of your Import LC application review, please contact us at our bank customer support ph.no xxxxxxxxxxx

Yours Truly

Authorized Signatory

3.11 <u>Reject Approval</u>

As a Reject approver, user can review a transaction rejected and waiting for reject confirmation.

Log in into OBTFPM application to view the reject approval tasks for Import LC Issuance in queue. On opening the task, you will see summary tiles. The tiles will display a list of important fields with values.

The tile containing the screen from where the reject was triggered will be highlighted in red.

User can drill down from reject summary tiles into respective data segments to verify the details of all fields under the data segment.



3.11.1 Application Details

The application details data segment have values for requests received from both non-online and online channels.

3.11.2 Summary

The data captured during handling of the transaction until the stage when reject is given will be available in the summary tile. Other fields will be blank when verified from summary tile.

The data segment in which the task was rejected will have the tiles highlighted in a different colour (red).

- Main Details User can view and modify details about application details and LC details, if required.
- Party Details User can view and modify party details like beneficiary, advising bank etc., if required
- Availability and Shipment User can view and modify availability and shipment details, if required.
- Payments User can view and modify all details related to payments, if required.
- Documents & Condition User can view and modify the documents required grid and the additional conditions grid, if required.
- Limits and Collaterals User can view and modify limits and collateral details, if required.
- Charges User can view and modify charge details, if required.
- Revolving Details User can view and modify revolving details on revolving LC, if applicable.
- Preview Messages User can view and modify preview details, if required.
- Compliance User can view compliance details. The status must be verified for KYC and to be initiated for AML and Sanction Checks.

3.11.3 Action Buttons

Field	Description	Sample Values
Reject Approve	On click of Reject Approve, the transaction is rejected.	
Reject Decline	On click of Reject Decline, the task moves back to the stage where it was rejected. User can update the reason for reject decline in remarks.	
Hold	User can put the transaction on 'Hold'. Task will remain in Pending state.	
Cancel	Cancel the Reject Approval.	



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